



Clutter Environment Analysis using Adaptive Processing (CLEAN-AP)

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NEXRAD TAC
Norman, OK
8 March, 2011

we need your decision



*Should the ROC proceed with the
engineering evaluation
of **CLEAN-AP** on the RDA?*



what is CLEAN-AP?



CLEAN-AP is a novel **real-time, automatic, integrated** technique for ground clutter **detection** and **filtering** that produces data with **better quality** while meeting NEXRAD technical **requirements**.



from research to operations

- Nov 2009 TAC meeting
 - initially presented CLEAN-AP
 - "... the technique shows potential utility...", "... encouraged by early results..."
 - "... [have not] shown the scientific details behind the algorithm..."
 - "... [need] case comparisons with CMD..."
- Fall 2010 ROC/NSSL/NCAR TIM
 - presented more technical details and data cases
 - received positive feedback from ROC eng and agency reps
- a catch-22 situation
 - science is ready for transition to operations
 - not enough funding/resources allocated for this
 - need endorsement of science to move forward
 - some operational questions can only be answered with a transition effort in place



you asked for it, you got it!



- “Produce the **best quality data** possible from the WSR-88D throughout the remainder of its service life.”
 - “...these applications require that quality control/assurance be applied **automatically.**”
 - “...signal processing could be improved to almost **completely mitigate ground clutter...**”

*Possible Strategic Directions
For the
WSR-88D Doppler Weather
Surveillance Radar*

John T Snow

Chair, NEXRAD Technical Advisory Committee Chair
College of Geosciences, The University of Oklahoma

Rhonda B. Scott, Capt, USAF
Radar Operations Center

Members, NEXRAD Technical Advisory Committee

clutter mitigation at the RDA

CMD

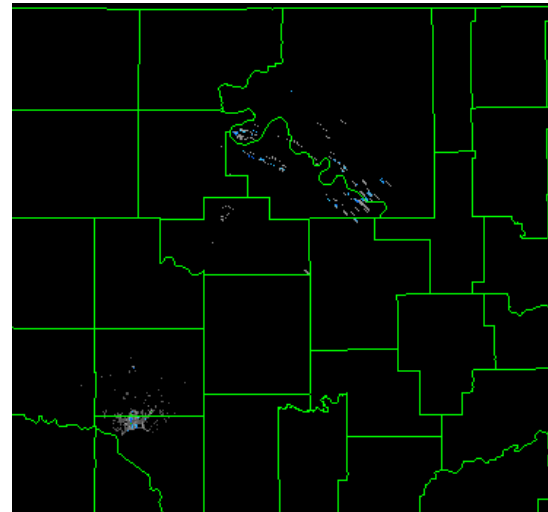
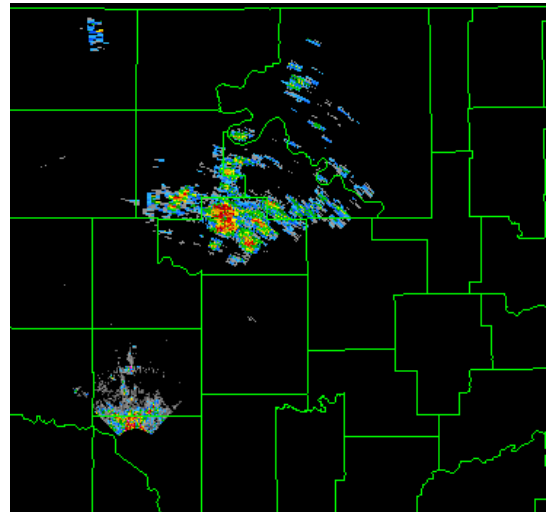
Control



Unfiltered data

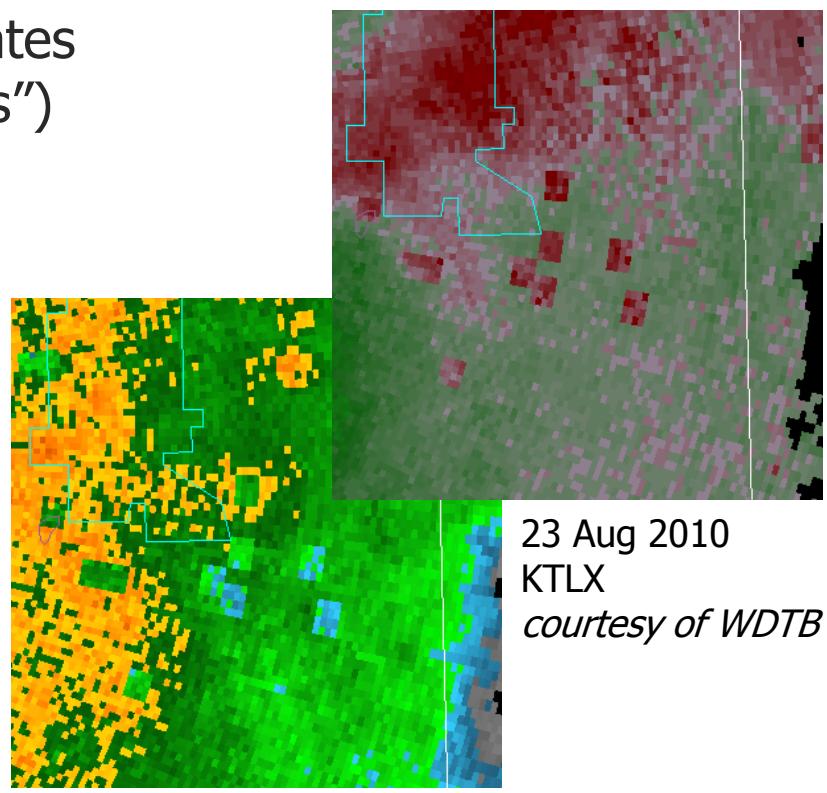
GMAP

Filtered data



reported data quality issues

- **false detections** along zero isodop
 - GMAP is applied on non-contaminated gates and reflectivity is biased low (“signal loss”)
- **missed detections** for multiple clutter sources
 - GMAP is not applied on contaminated gates and reflectivity is biased high (“hot spots”)
- **spatial irregularities** in data fields
 - GMAP applied/not applied on “patches” of data cause spatial discontinuities
 - Spatial map “growing” to minimize missed detections results in over-filtering



the CLEAN-AP filter (I)

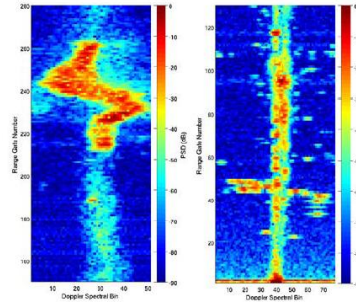


- CLEAN-AP is **automatic**
 - no need for user intervention
 - real-time detection
 - no need for clutter maps
- CLEAN-AP produces data with **better quality**
 - adaptive data windowing finds a good compromise between clutter suppression and data quality
- CLEAN-AP meets NEXRAD **requirements**
 - improved suppression
 - requirements (Z) met with as few as 8 samples
- CLEAN-AP is **integrated**
 - one algorithm for ground clutter detection and filtering
 - gate-by-gate operation

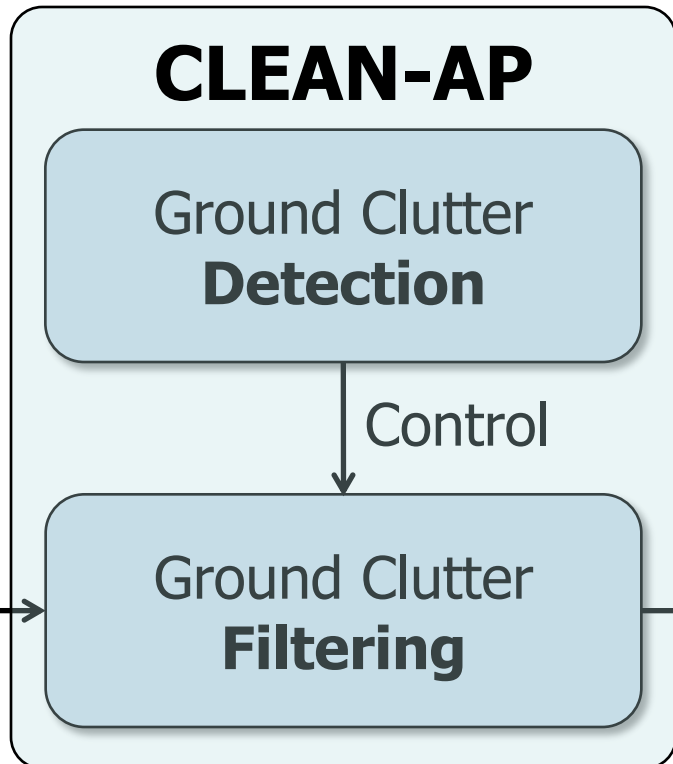


the CLEAN-AP filter (II)

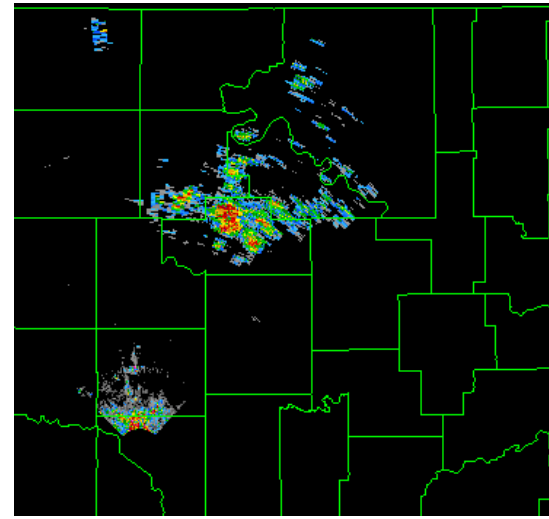
- CLEAN-AP “sets the stage” for further **spectral processing**
 - phase information is not lost
 - immune to biases from circular convolution
- CLEAN-AP is **operational on the NWRT PAR**
 - running in real-time since Sep 2008
 - performance informally evaluated by meteorologists and forecasters (PARISE experiments)
- CLEAN-AP consideration as an alternative clutter mitigation solution **makes sense now**
 - compatible with current and future upgrades
 - dual pol., SZ-2, SPRT, range oversampling, etc



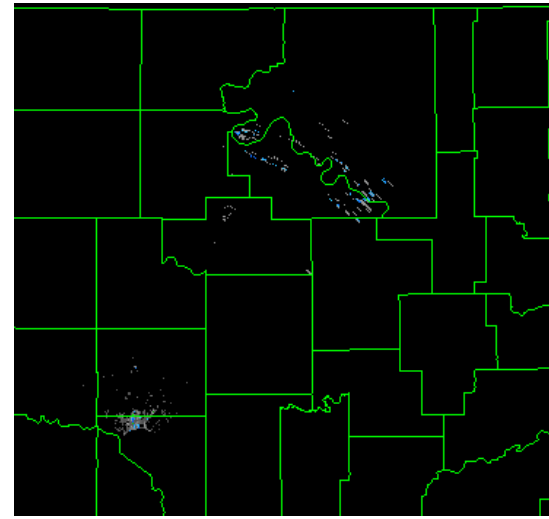
CLEAN-AP clutter mitigation



Unfiltered data



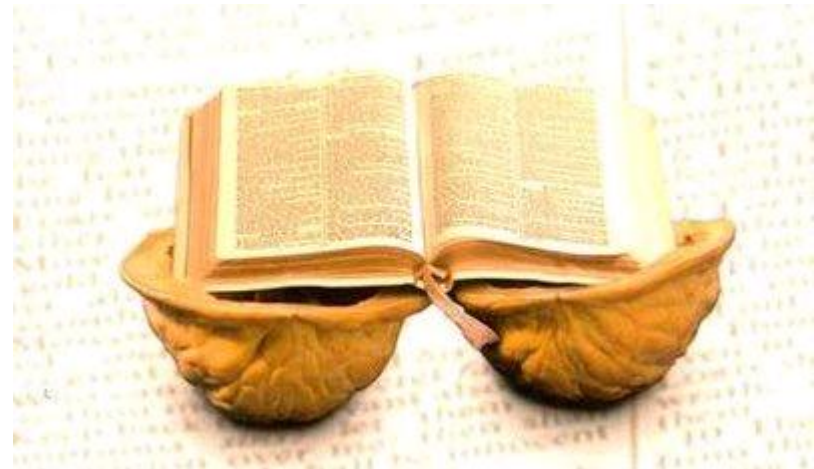
Filtered data



in a nutshell

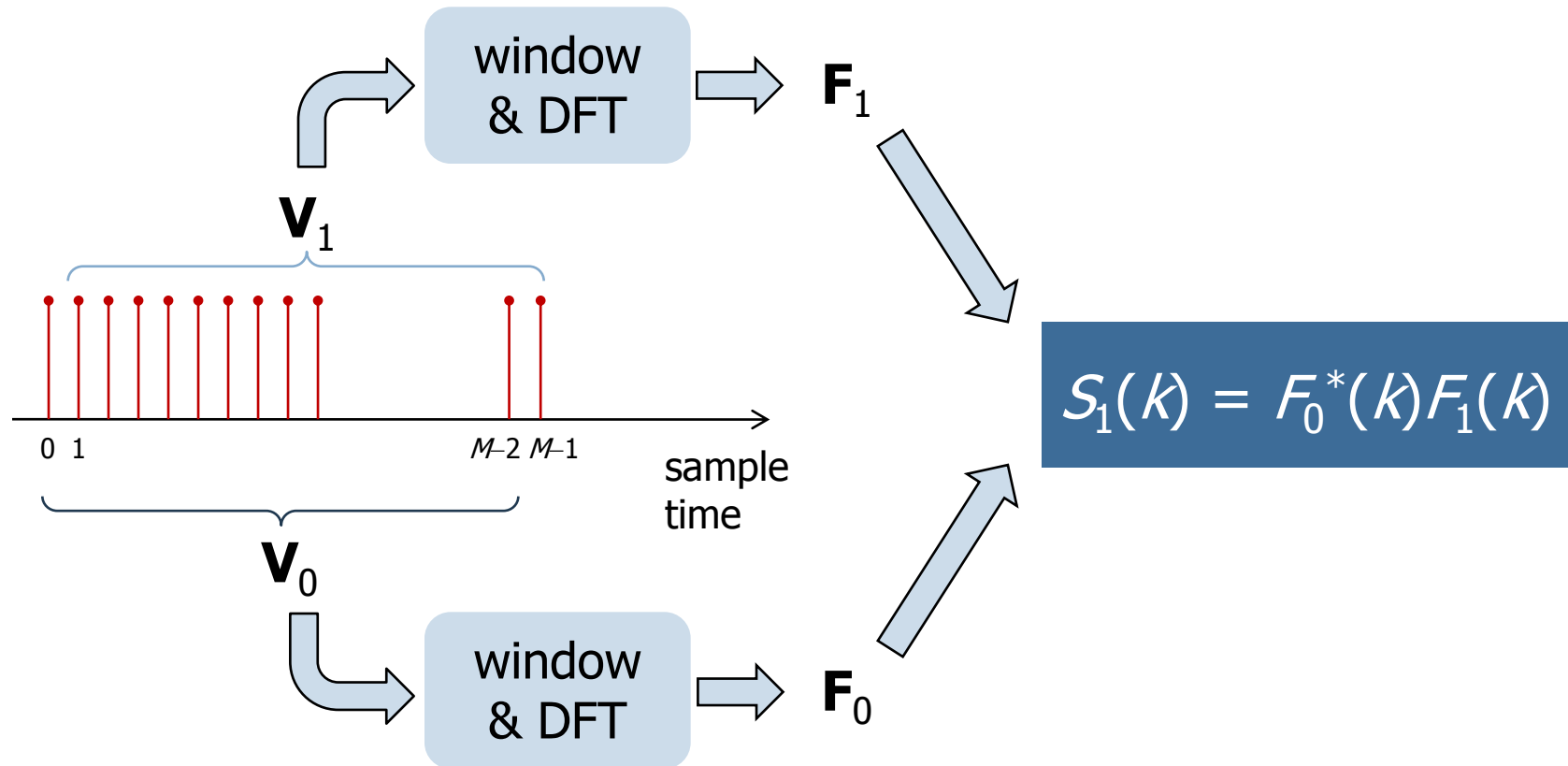


- CLEAN-AP
 - selects a suitable data window
 - identifies contaminated spectral components
 - removes contaminated spectral components
 - reconstructs filtered spectrum



CLEAN-AP's core: the ASD

lag-1 autocorrelation spectral density



- For periodic signals: $F_1(k) = F_0(k) e^{j2\pi k/M}$
 - $S_1(k) = F_0^*(k) F_0(k) e^{j2\pi k/M}$
 - the **ASD** is the **PSD** with linear phase

some ASD facts

- its computation requires 2 DFTs

$$S_1(k) = F_0^*(k)F_1(k) \quad ; \quad k = 0, 1, \dots, M-1$$

- its sum is the lag-1 autocorrelation

$$\sum_{k=0}^{M-1} S_1(k) = R(1)$$

- its magnitude is the Doppler spectrum

$$|S_1(k)| = S(k)$$

- **ideally**, its phase is trivial

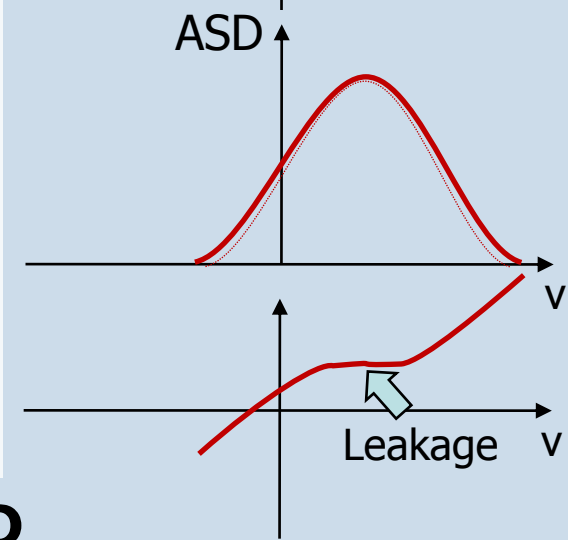
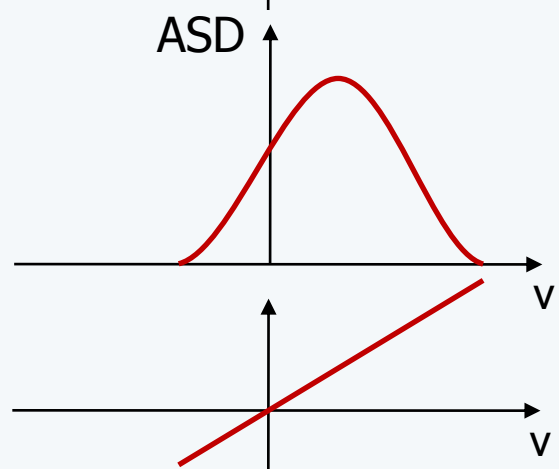
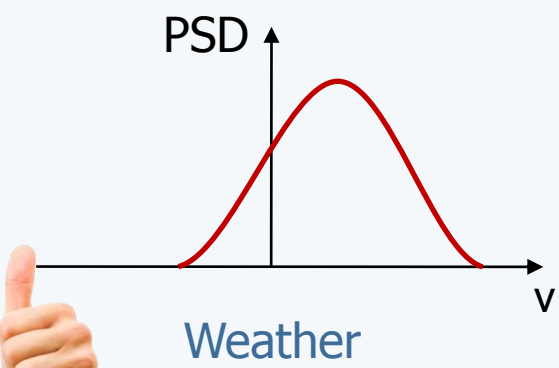
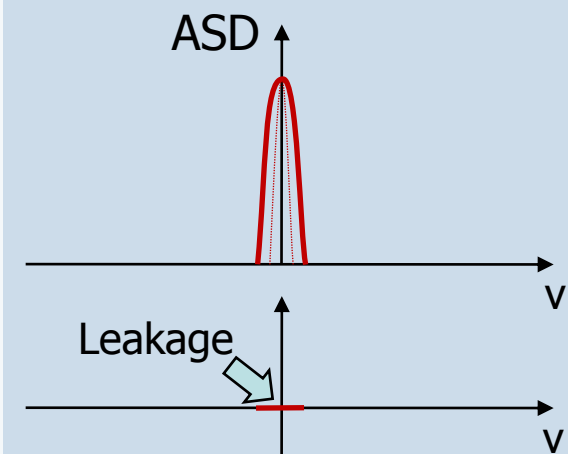
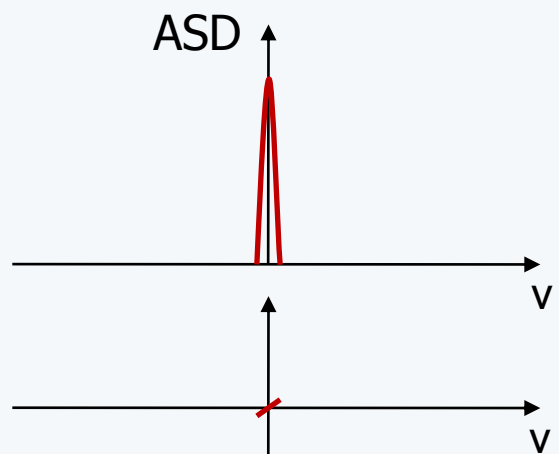
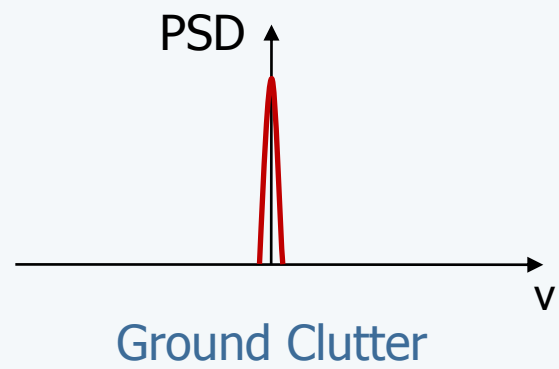
$$\text{Arg}[S_1(k)] = 2\pi k / M$$



nothing is ideal...

True

Measured

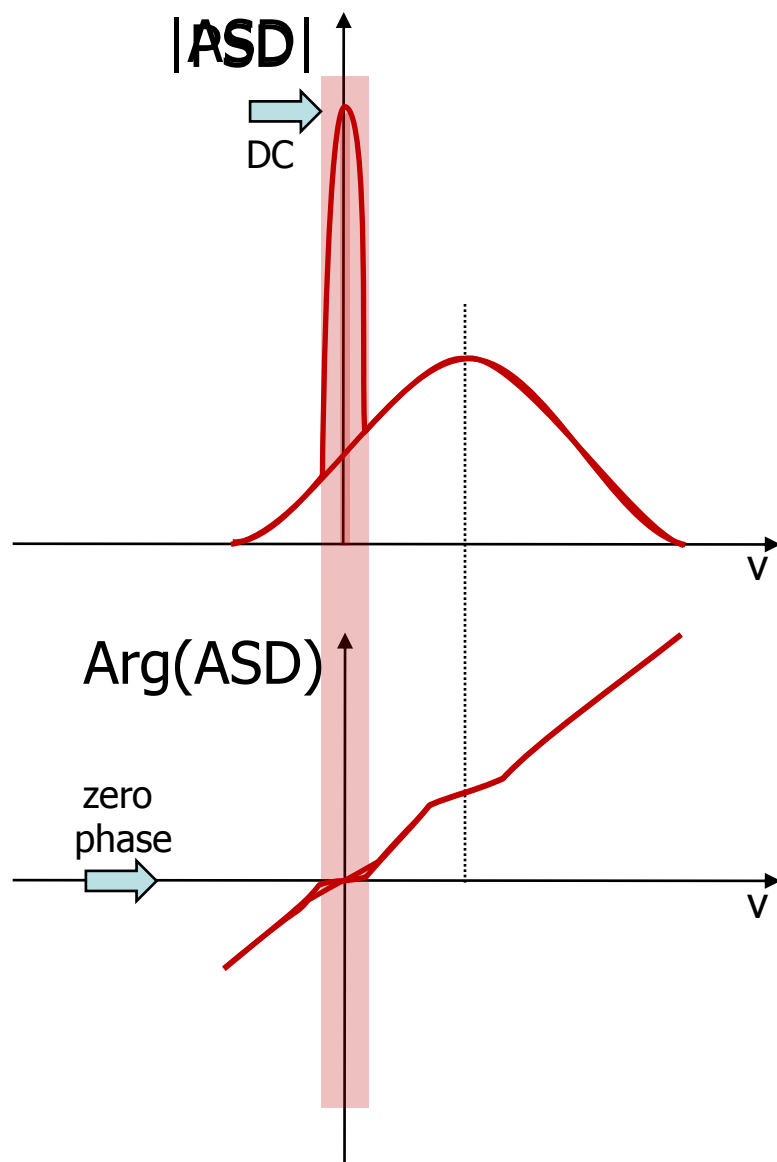


Spectral leakage in the **measured ASD** is the basis for **clutter detection** in CLEAN-AP



how does CLEAN-AP work?

Integrated Detection and Filtering



Detection

- Estimate CNR
- Select appropriate data window
- Compute ASD
- Identify components with clutter contamination
 - Phase of ASD is near zero due to leakage effect

Filtering

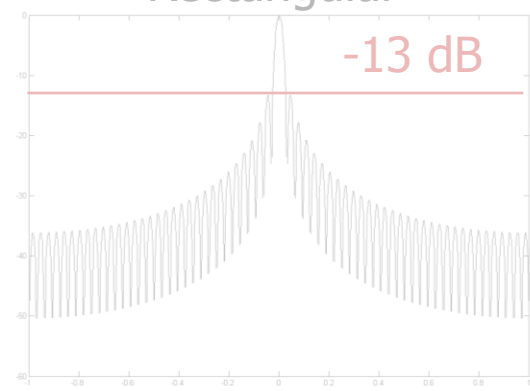
- Remove clutter
- Reconstruct weather
- ASD is used to estimate meteorological variables

spectral leakage

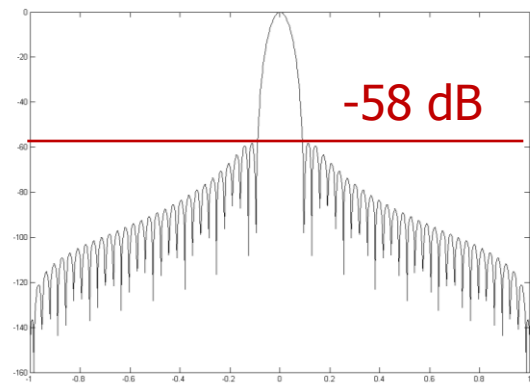
data windows to the rescue!

Data Window Spectra

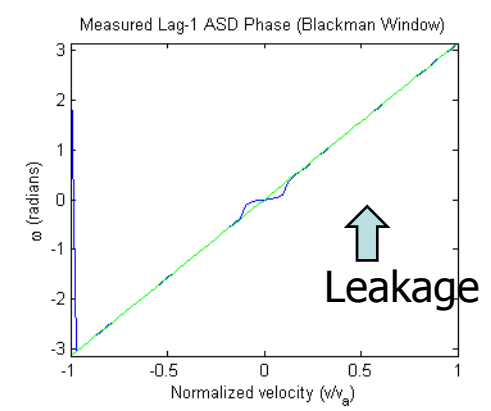
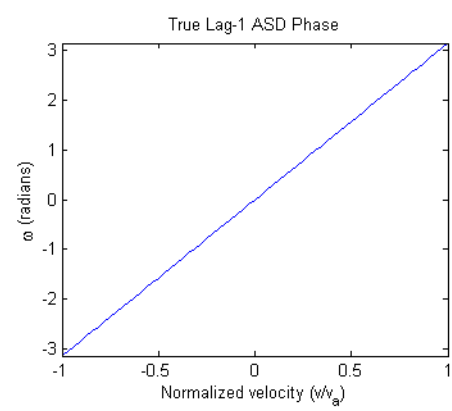
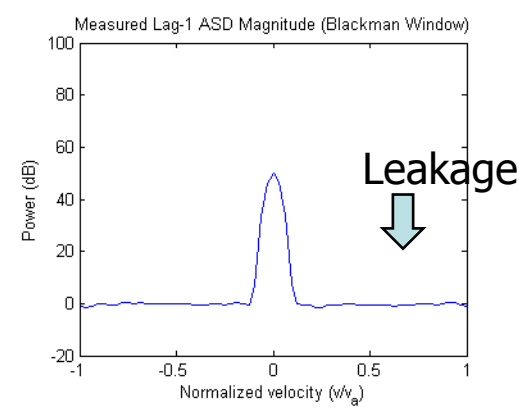
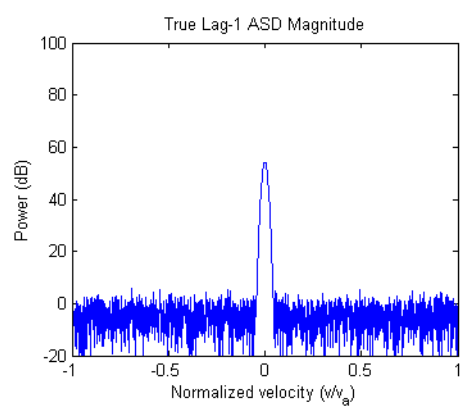
Rectangular



Blackman



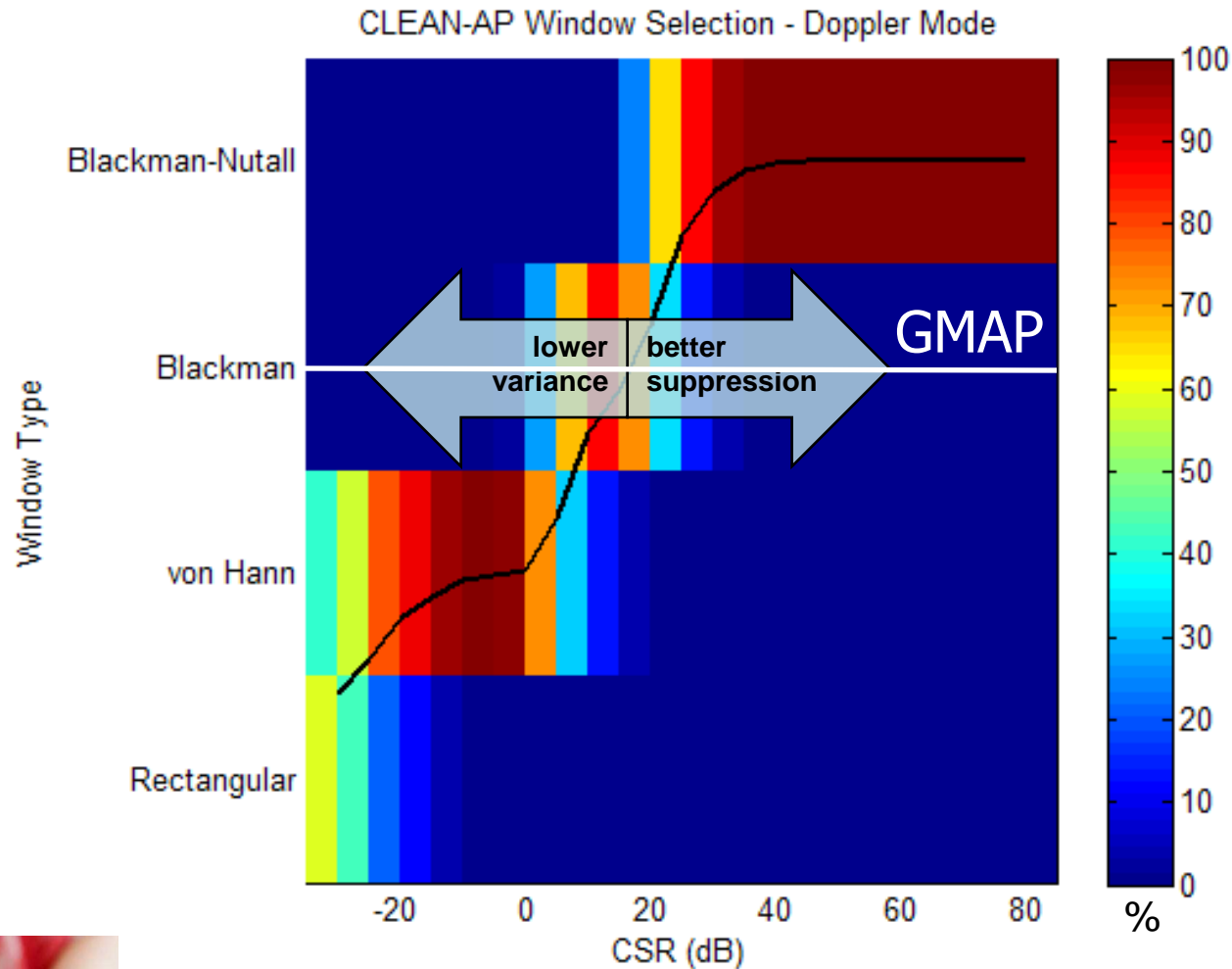
True and Measured ASD



Tapered data windows are used to **contain spectral leakage**

data window selection

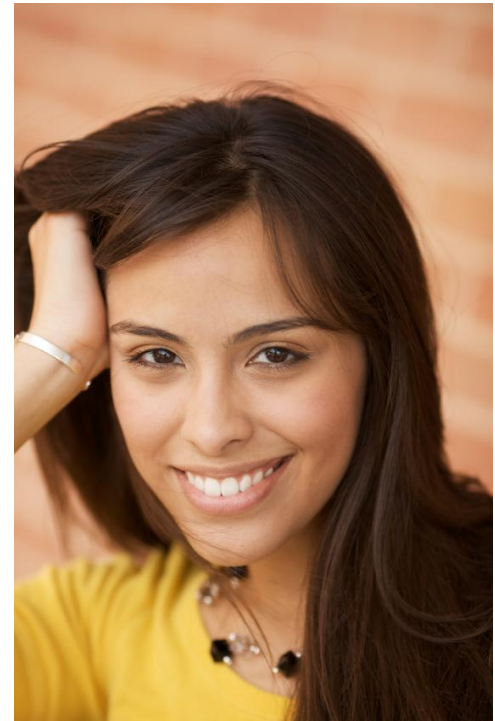
CLEAN-AP vs. GMAP



More **aggressive** data windows **contain** spectral leakage but **increase** variance of estimates

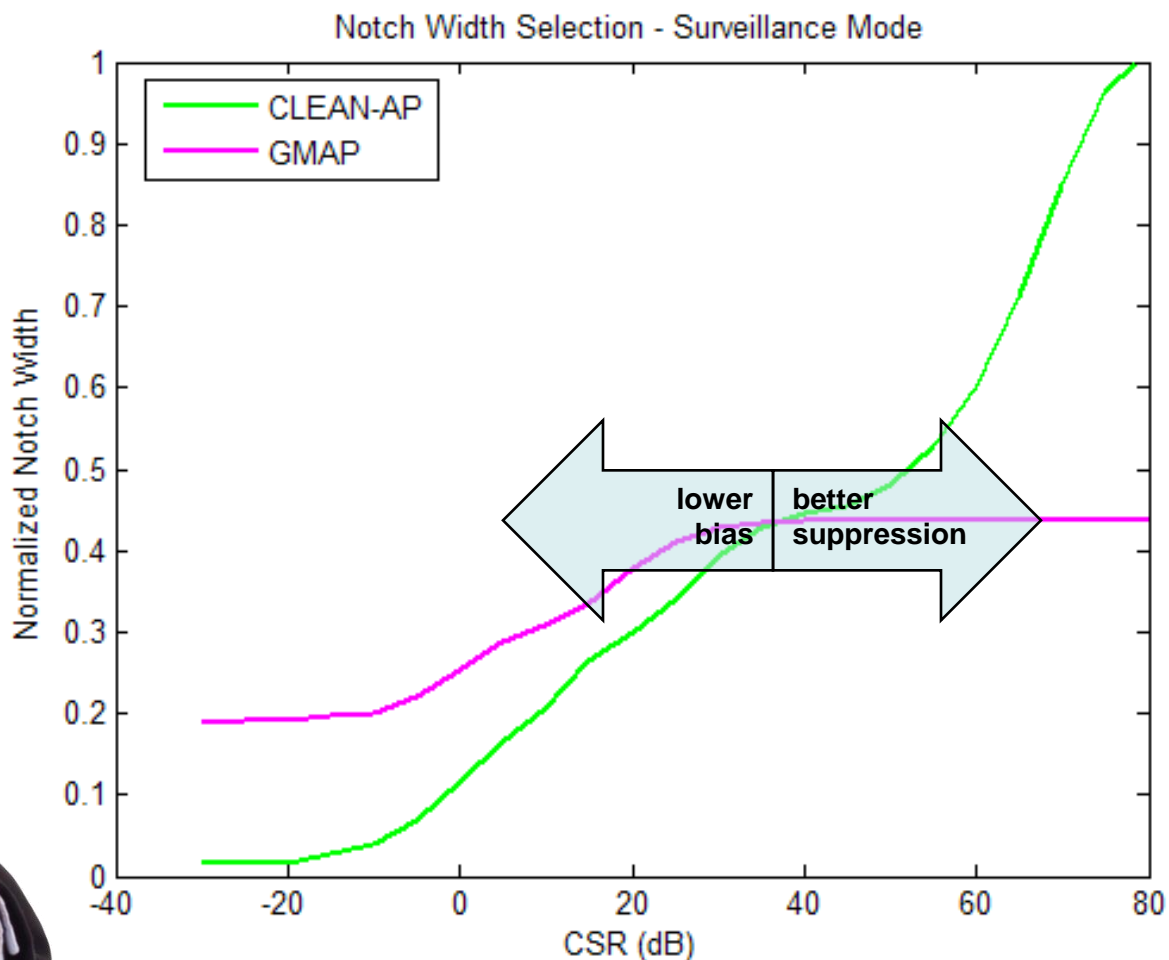
ground clutter model

- analogous to GMAP's model
 - single-parameter suppression control
- allows for automatic phase threshold adjustments for different sampling and processing conditions
 - PRT
 - number of samples
 - data window



notch width selection

CLEAN-AP vs. GMAP



NW determination

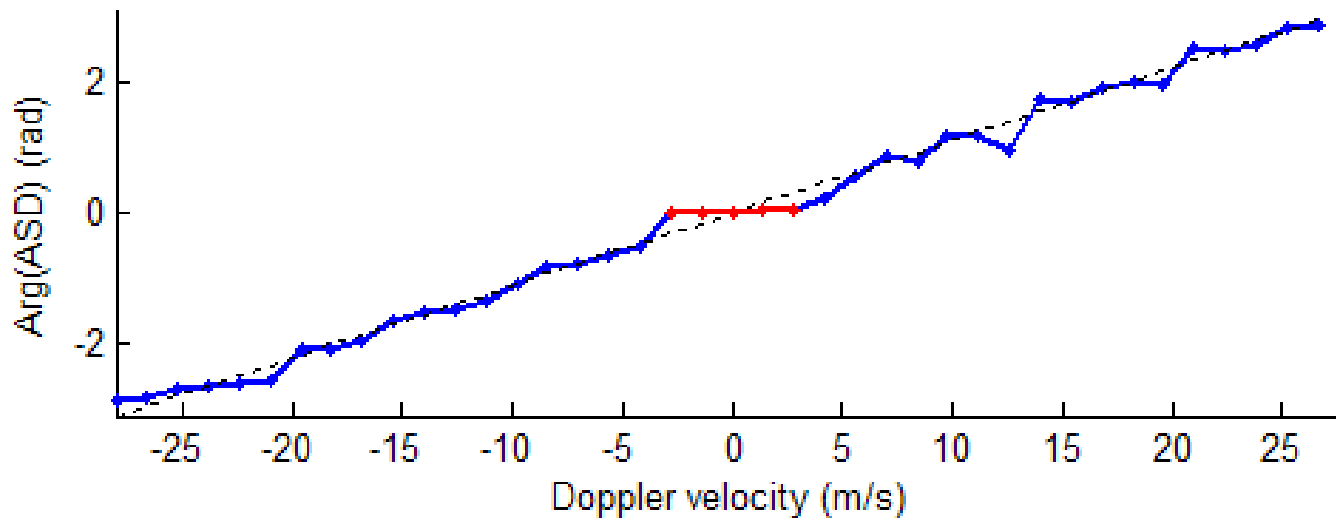
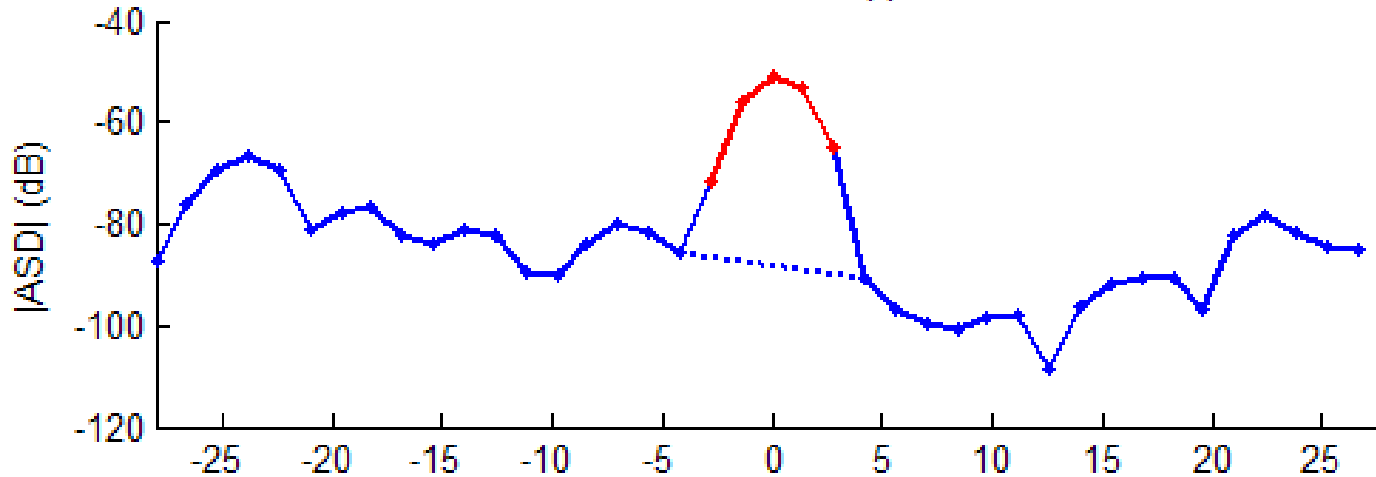
	mag	phase
GMAP	✓	
CLEAN-AP	✓	✓



Use of **magnitude only** results in **poor notch width** determination

some real examples

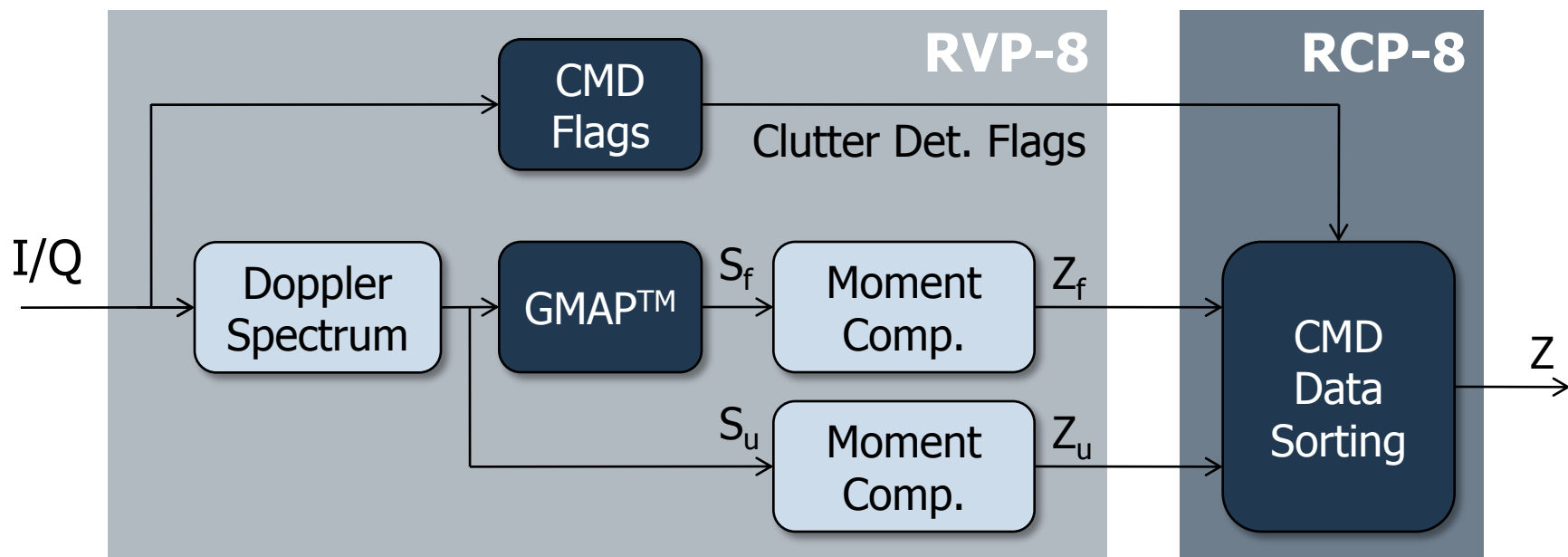
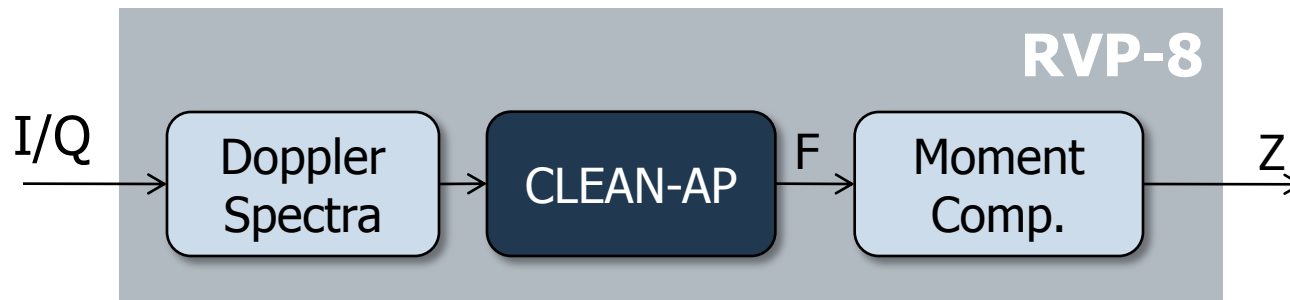
Window: Blackman, NW: 5, Suppression: 14.4 dB



KOUN:
VCP 12
 $T_s = 1$ ms
 $M = 40$

implementation

CLEAN-AP vs. CMD+GMAP

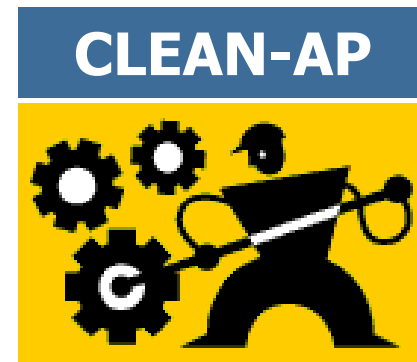


computational complexity

CLEAN-AP vs. CMD+GMAP



- Proposed solution will likely fit in current hardware without additional processing requirements
 - CLEAN-AP requires less processing time than GMAP
 - Code analysis, verified in MATLAB implementation
 - RVP8 has processing power to run “all bins” clutter filtering with GMAP



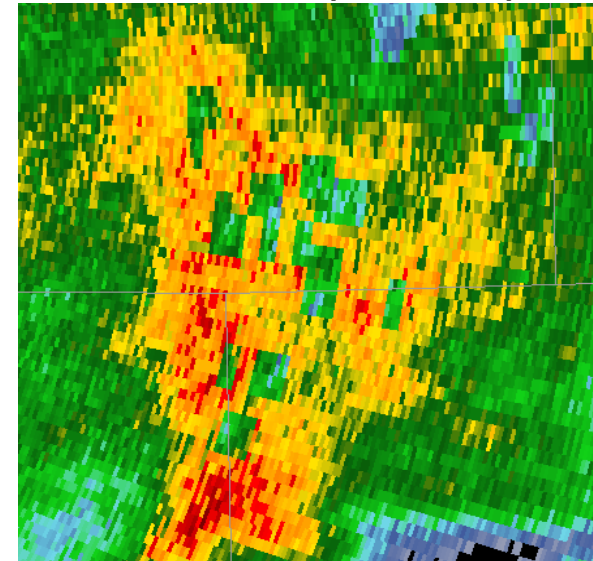
filter application

CLEAN-AP vs. CMD+GMAP



- CLEAN-AP is an “all-bins” approach
 - full performance can be characterized with simulations
 - spatially consistent
- CMD+GMAP is an on/off approach
 - filter performance can be characterized with simulations
 - full performance must be characterized with real data
 - spatially inconsistent
- CMD and GMAP are good but not perfect
 - price to pay for detection mistakes is significant due to GMAP’s performance

KGRR Reflectivity - 22 July 10



CMD detection performance

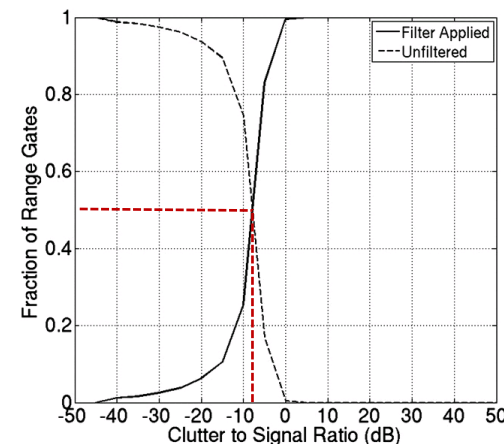
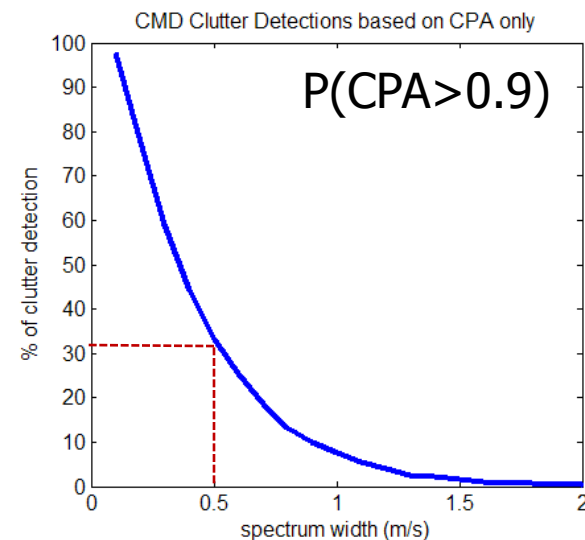
and the price of making mistakes

- **zero-isodop case**

- based on CPA only, CMD makes a **false detection** 30% of the time for a weather signal with $\sigma_v = 0.5$ m/s
- at $\sigma_v = 0.5$ m/s
 - GMAP introduces a reflectivity bias of ~ 23 dB
 - CLEAN-AP introduces a reflectivity bias of ~ 5 dB

- **weak-clutter case**

- based on published data, CMD **misses a detection** more than 50% of the time for $CSR < -8$ dB
 - could be operationally significant in terms of overlaid echo recovery



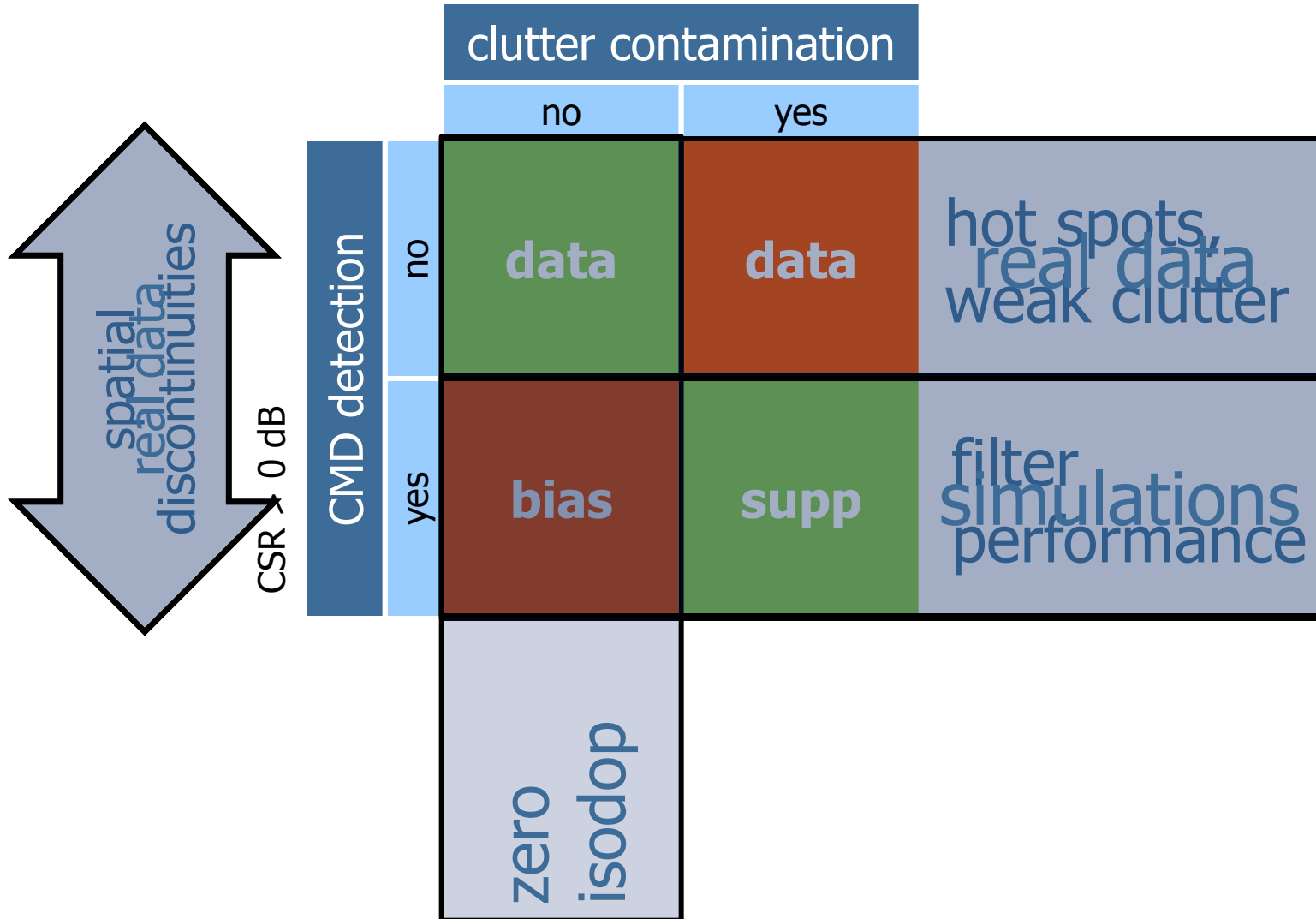
from Hubbert et al. (2009)



GMAP's misapplication leads to **degraded data quality**

performance evaluation

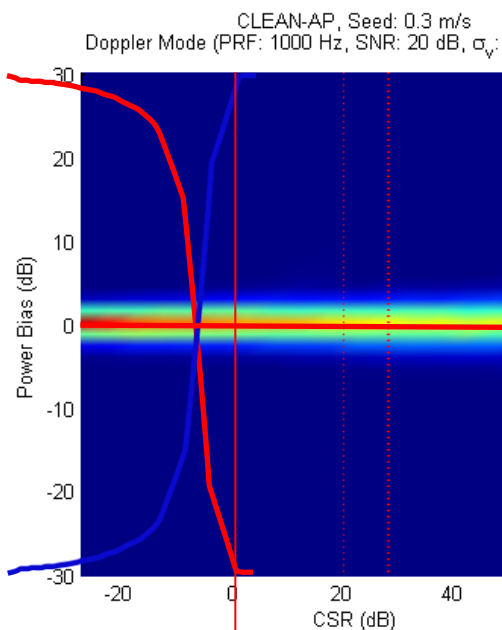
CLEAN-AP vs. CMD+GMAP



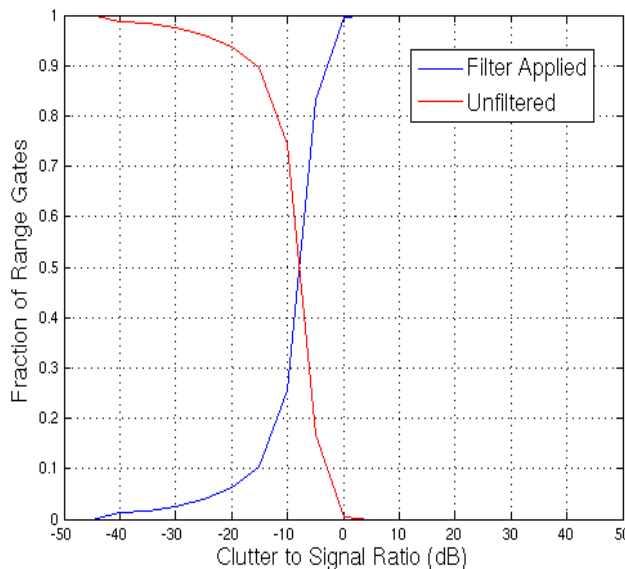
Clutter Suppression

Doppler Mode (20, 28, and 50 dB)

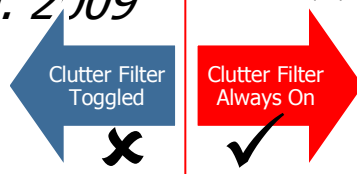
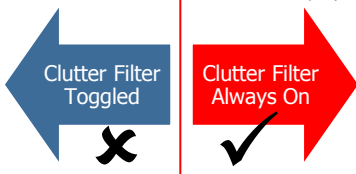
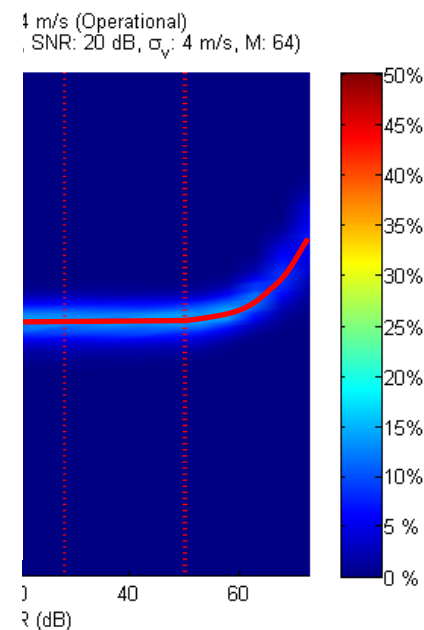
CLEAN-AP



CMD



GMAP



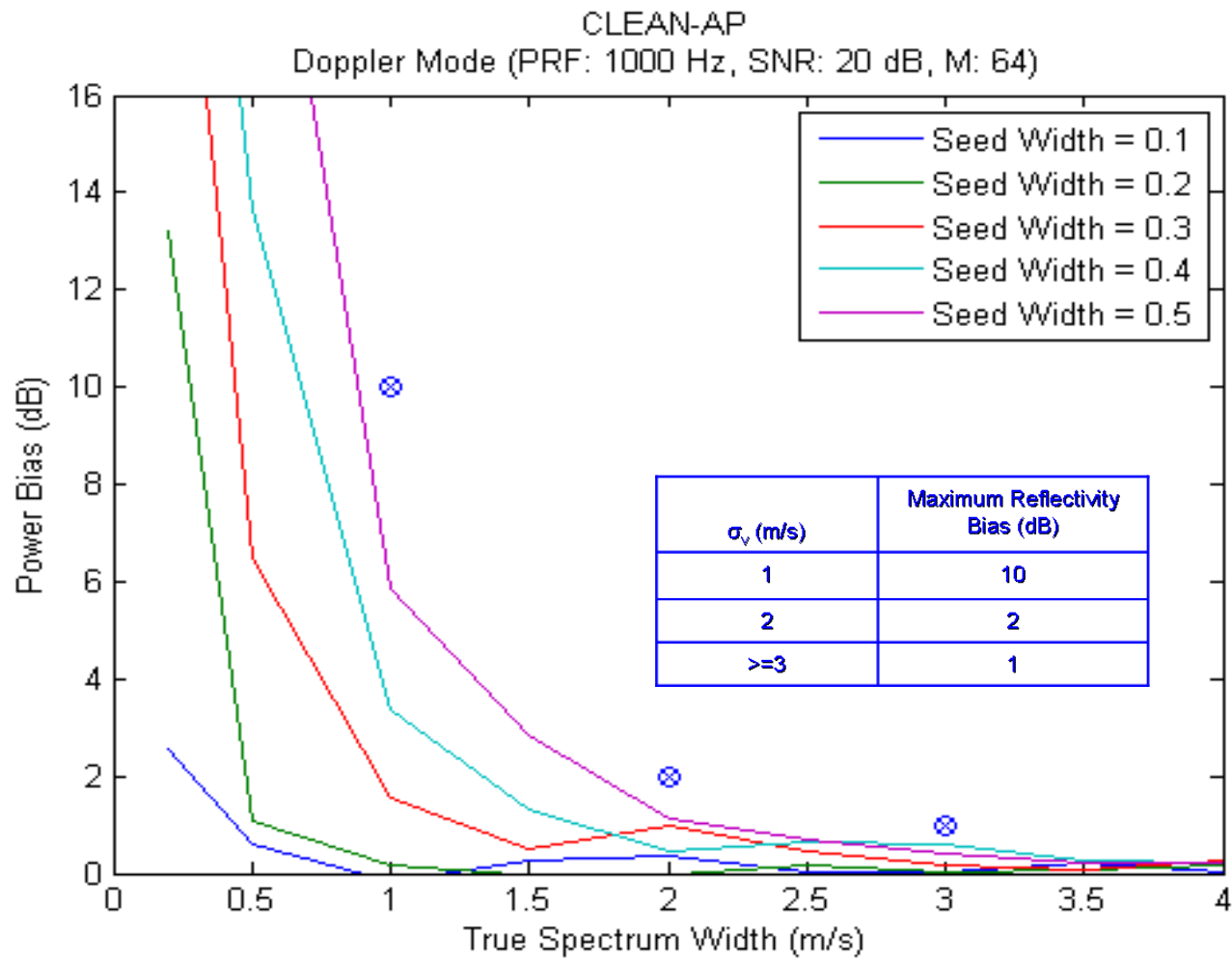
Hubbert et al. 2009

CLEAN-AP has **more clutter suppression** and **less variance of estimates**

		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias	pp

Reflectivity (Power) Bias

Doppler Mode



Clutter **model** allows for control of clutter suppression

		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

Reflectivity (Power) Bias

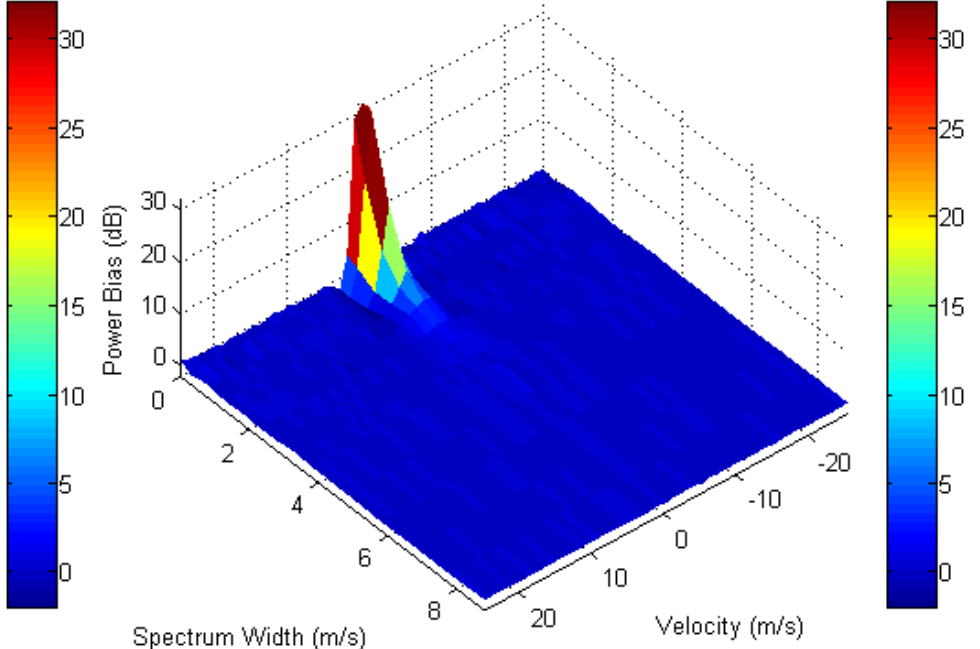
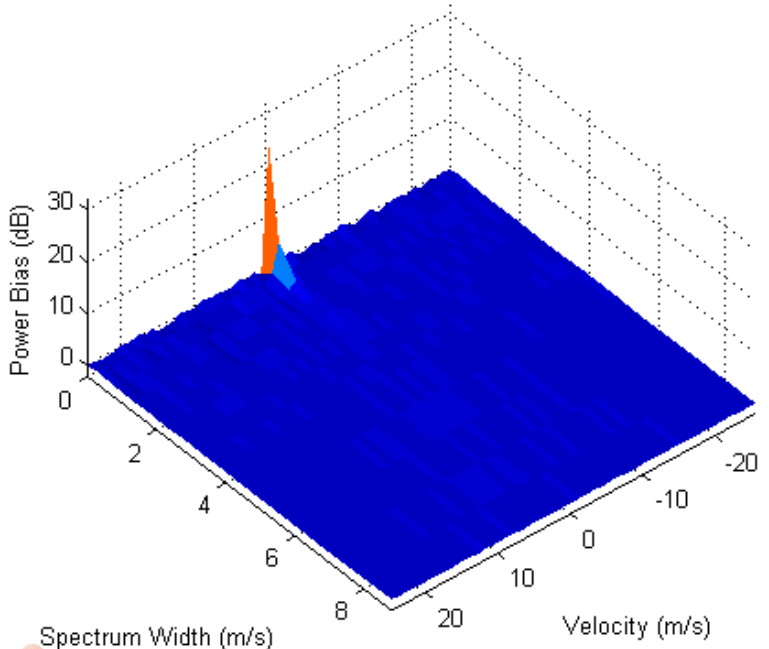
Doppler Mode

CLEAN-AP

GMAP

CLEAN-AP, Seed: 0.3 m/s
 Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)

GMAP, Seed: 0.4 m/s (Operational)
 Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



CLEAN-AP has a more **robust notch width determination** that results in **smaller biases**

		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

Velocity Bias

Doppler Mode



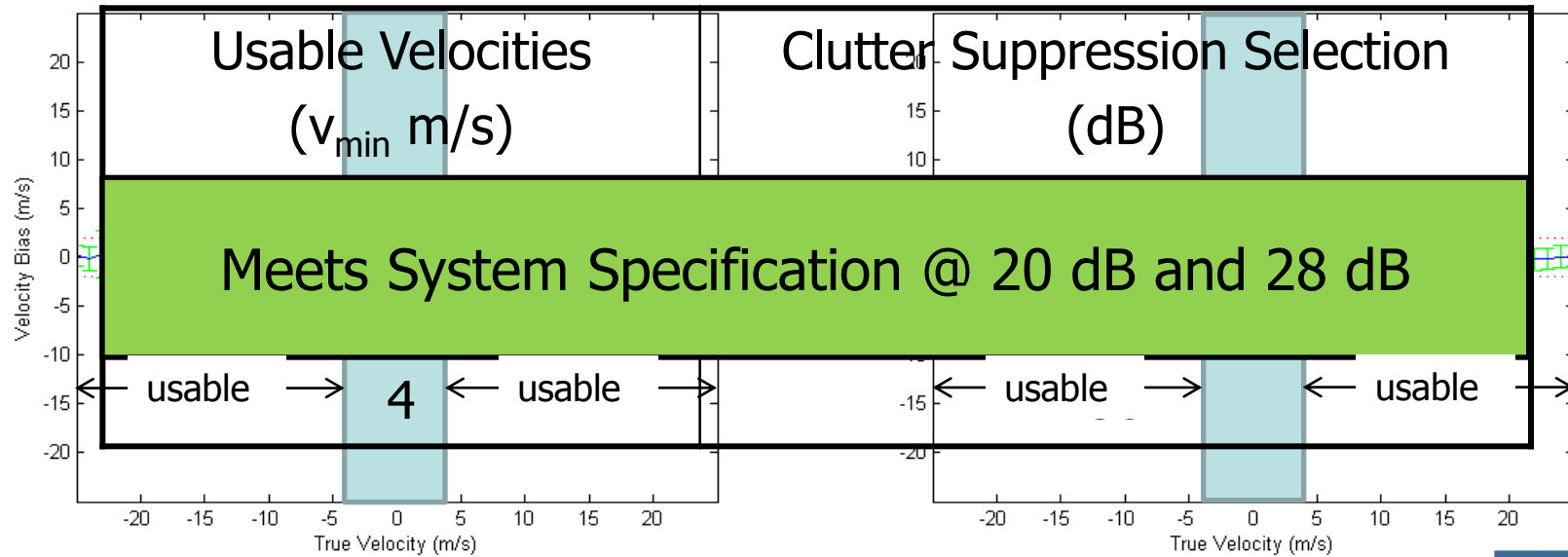
CSR = 50 dB

CLEAN-AP

GMAP

CLEAN-AP, CSR: 50 dB, Seed: 0.3 m/s
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)

GMAP, CSR: 50 dB, Seed: 0.4 m/s (Operational)
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

Velocity Bias

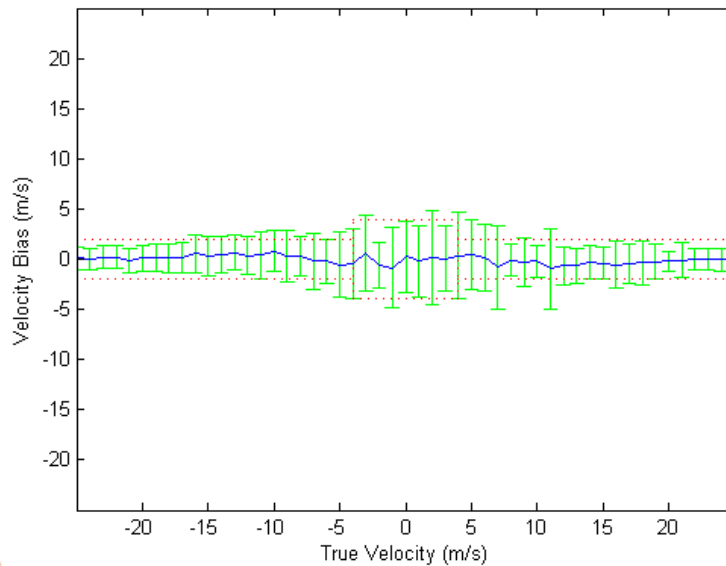
Doppler Mode



CSR = 60 dB

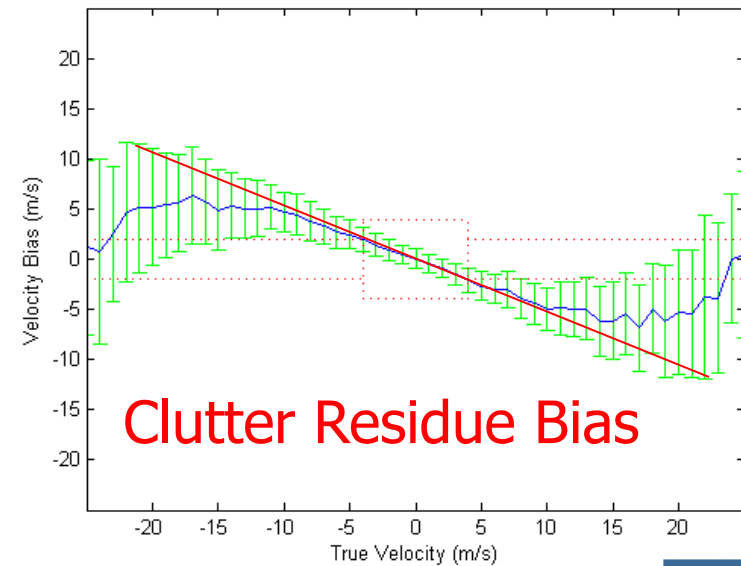
CLEAN-AP

CLEAN-AP, CSR: 60 dB, Seed: 0.3 m/s
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



GMAP

GMAP, CSR: 60 dB, Seed: 0.4 m/s (Operational)
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



CLEAN-AP allows **more clutter suppression** and exhibits **smaller biases**

		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

Spectrum Width Bias

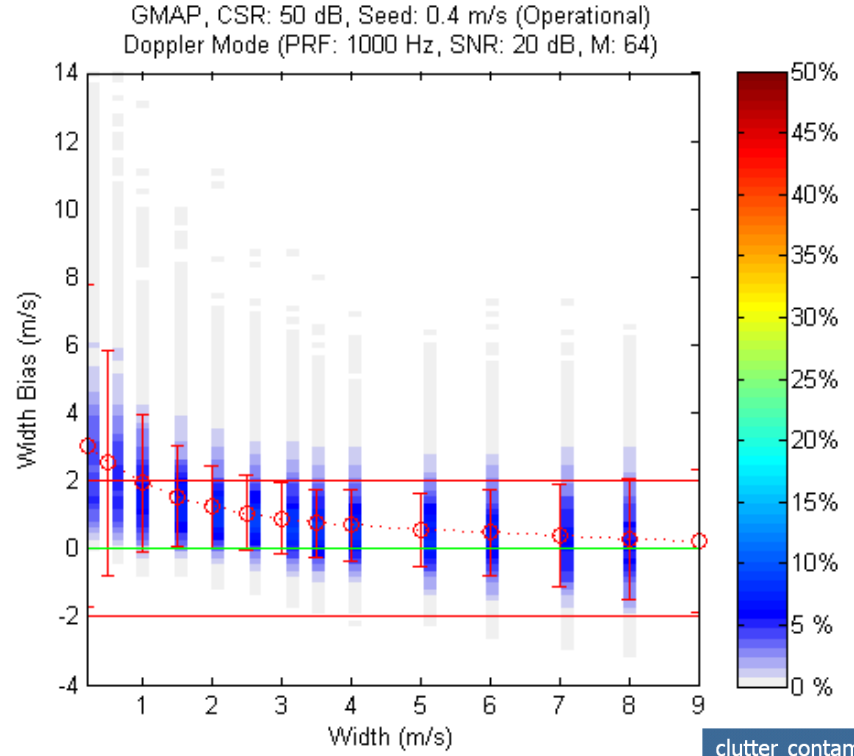
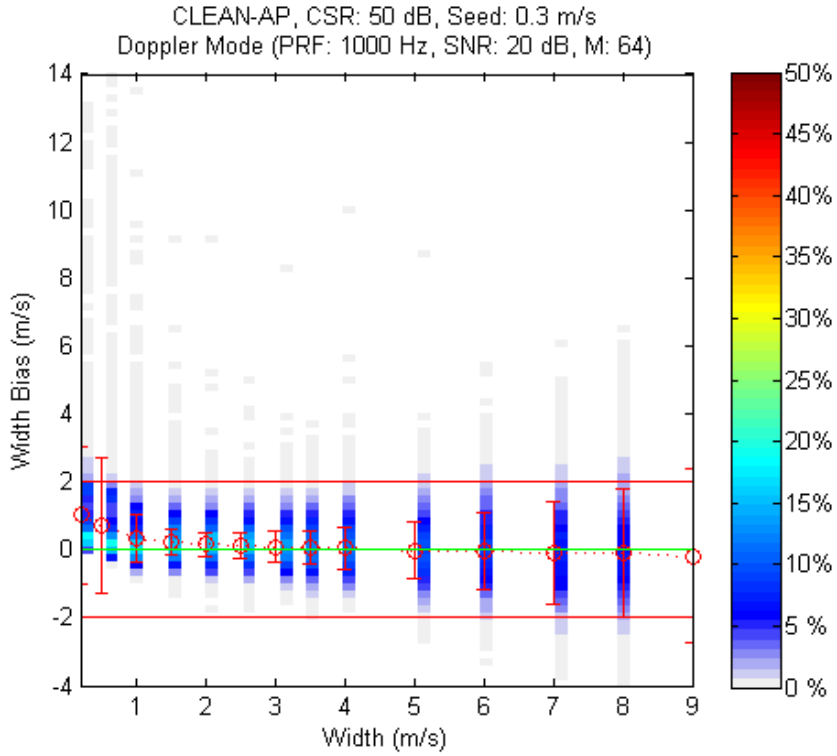
Doppler Mode



CSR = 50 dB

CLEAN-AP

GMAP



		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

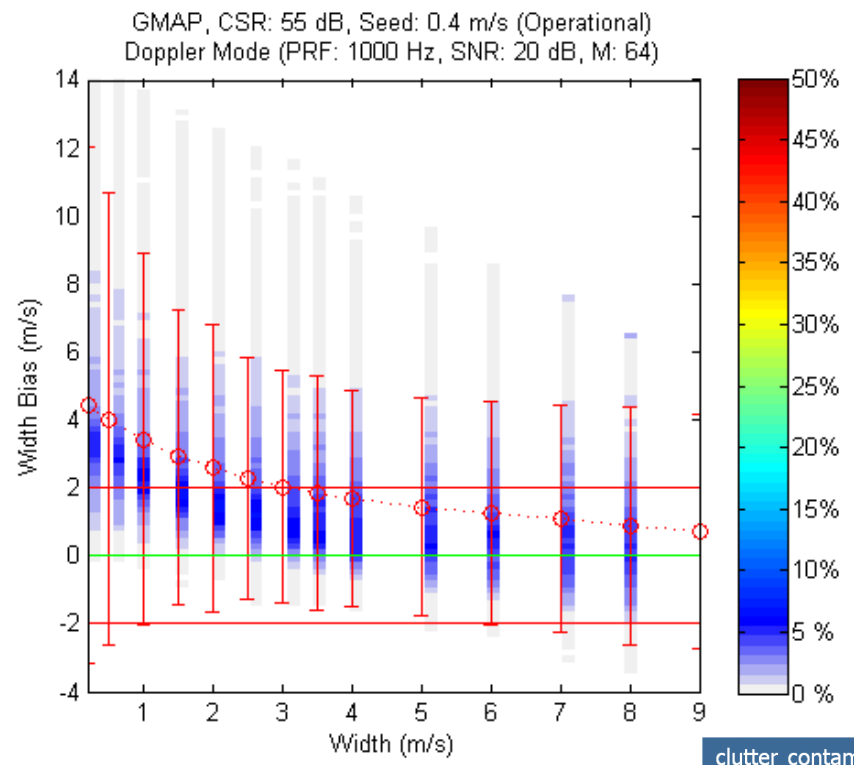
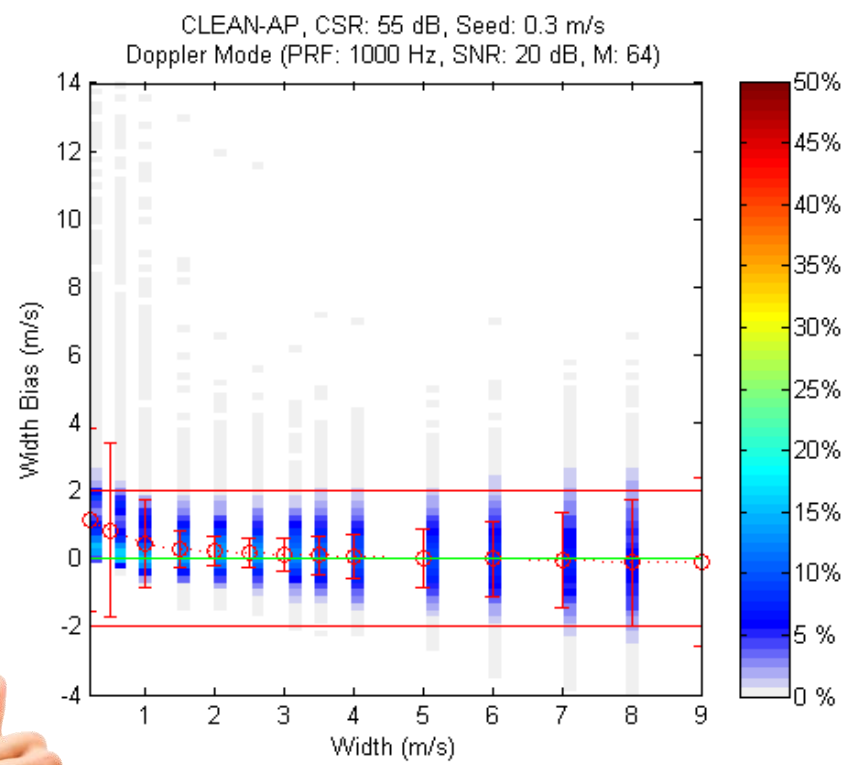
Spectrum Width Bias

Doppler Mode

CSR = 55 dB

CLEAN-AP

GMAP



CLEAN-AP allows **more clutter suppression** and exhibits **smaller biases**

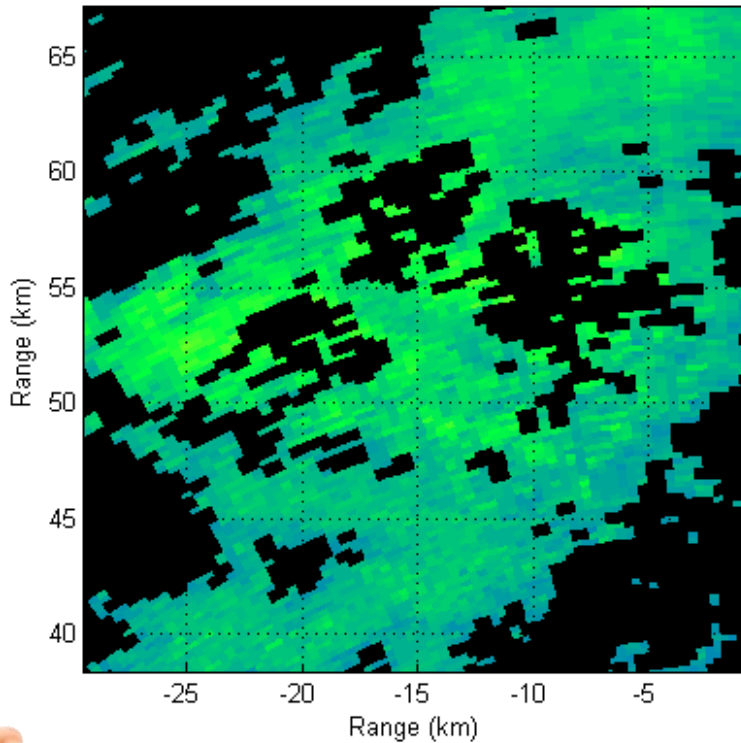
		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

KEMX Tucson, AZ

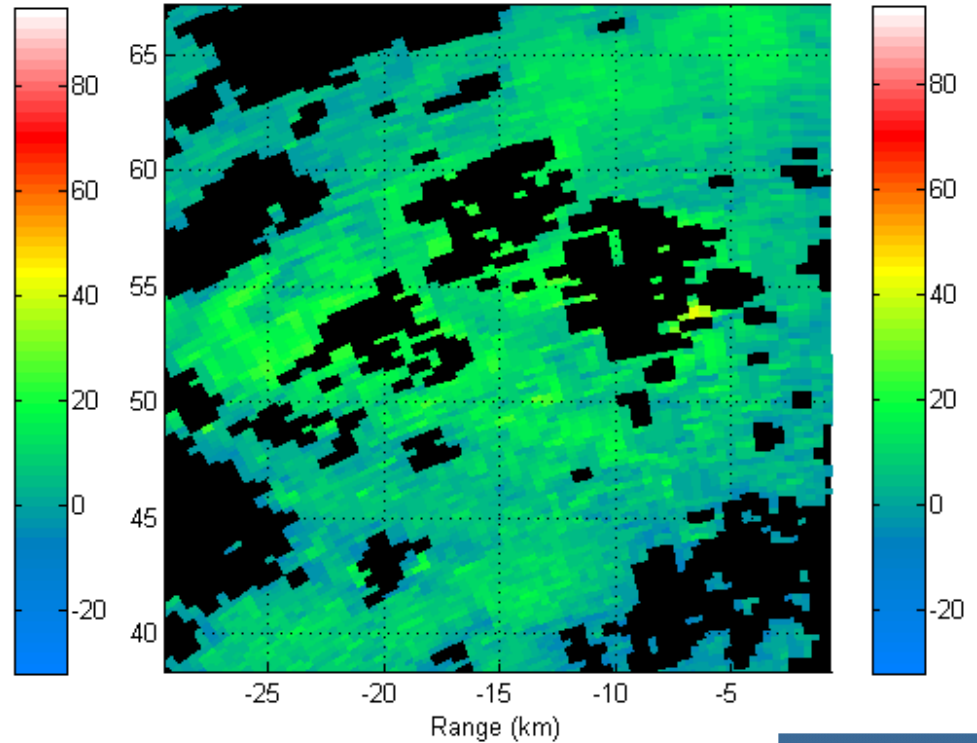
Can you see the mountains?



CLEAN-AP



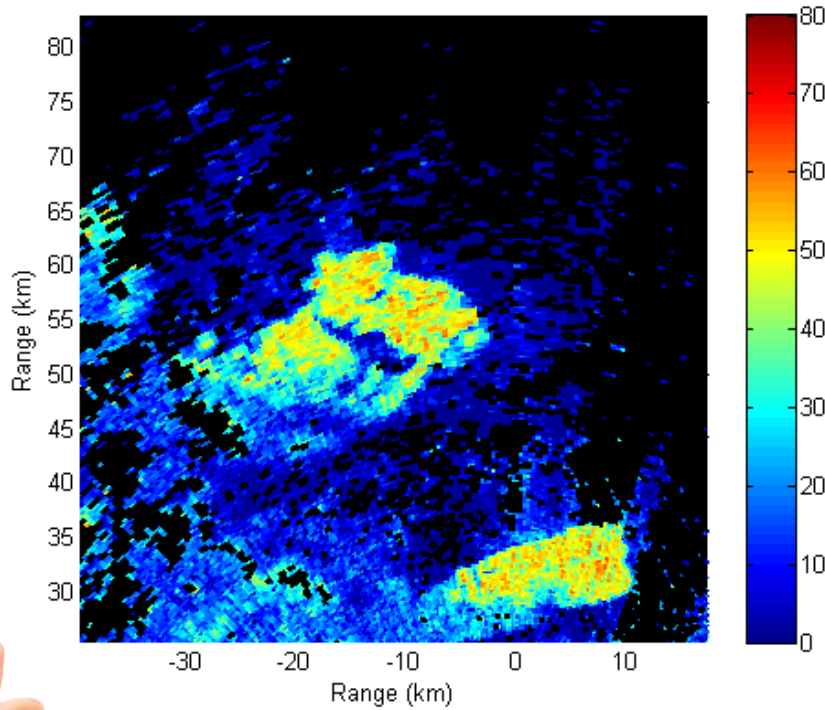
CMD+GMAP



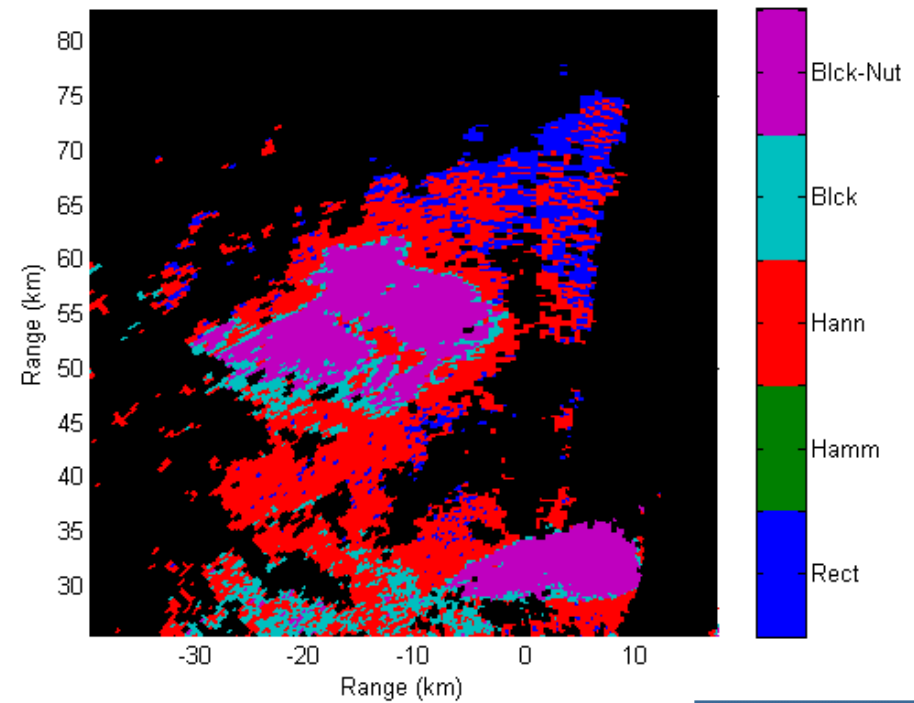
CLEAN-AP mitigates both **weak and strong clutter** contamination

		clutter contamination	
		no	yes
CMD detection	no	data	data ✓
	yes	bias	supp

Power Removed



Window Used



CLEAN-AP **adapts** to clutter environment

		clutter contamination	
		no	yes
CMD detection	no	data	data ✓
	yes	bias	supp

What happened to the zero-isodop?

- Zero-isodop loss
 - Weather with narrow spectrum width and near zero velocity has nearly the same spectrum as clutter



if it looks like a duck...

KCRI (ROC Testbed)

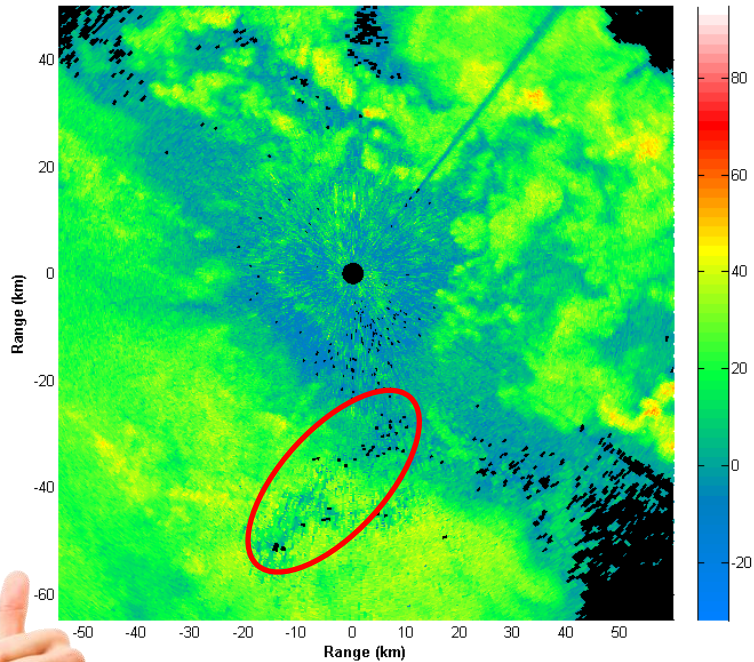
Reflectivity



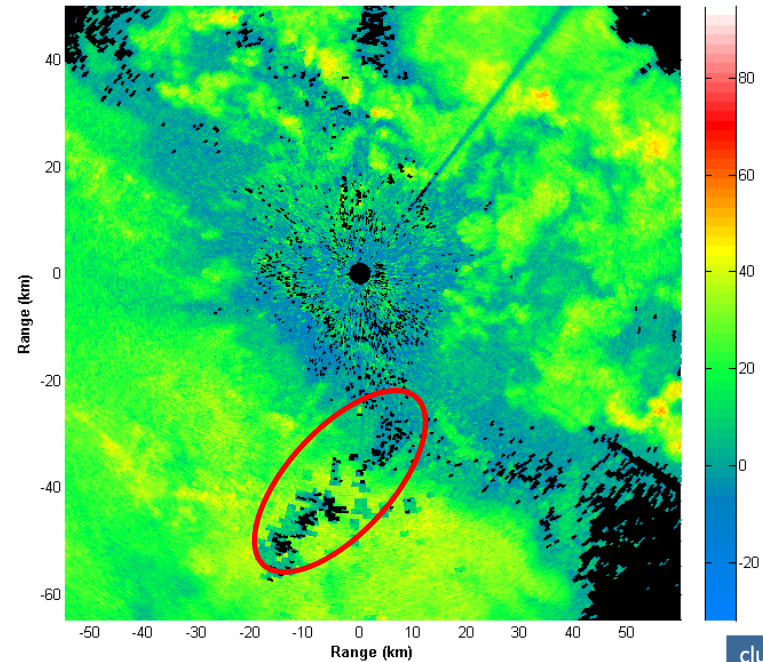
CLEAN-AP

CMD+GMAP

KCRI (ROC Testbed, OK) 1217Z 27JUL2009
Reflectivity - Filtered (CLEAN-AP)



KCRI (ROC Testbed, OK) 1217Z 27JUL2009
Reflectivity - Filtered (GMAP)



CLEAN-AP better **preserves the zero isodop**

		clutter contamination	
		no	yes
CMD detection	no	✓ data	data
	yes	bias	supp

KCRI (ROC Testbed)

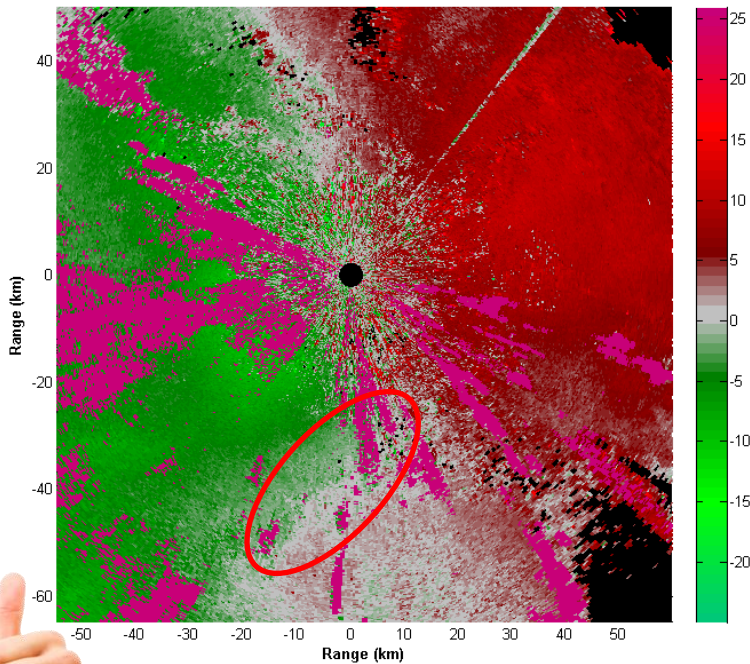
Velocity



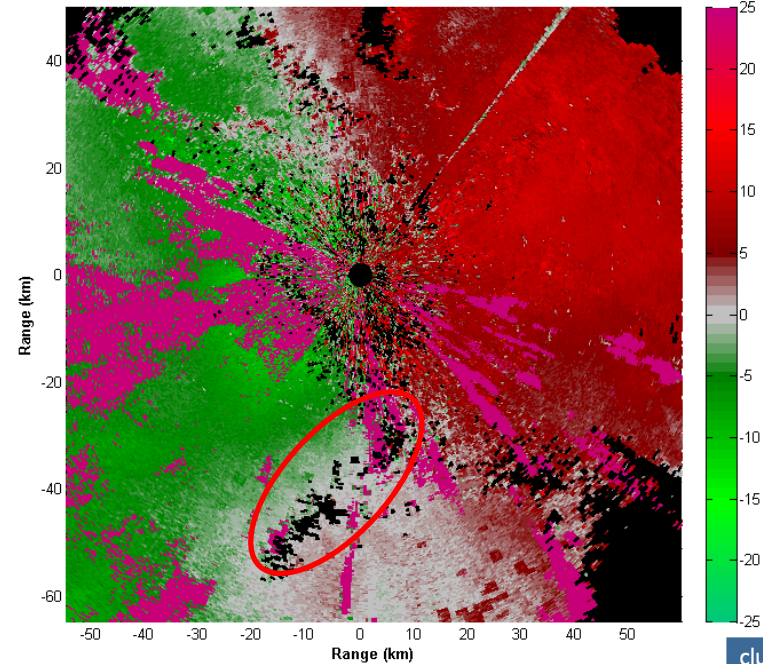
CLEAN-AP

CMD+GMAP

KCRI (ROC Testbed, OK) 1217Z 27JUL2009
Velocity - Filtered (CLEAN-AP)



KCRI (ROC Testbed, OK) 1217Z 27JUL2009
Velocity - Filtered (GMAP)



CLEAN-AP better **preserves** the **zero isodop**

		clutter contamination	
		no	yes
CMD detection	no	✓ data	data
	yes	bias	supp



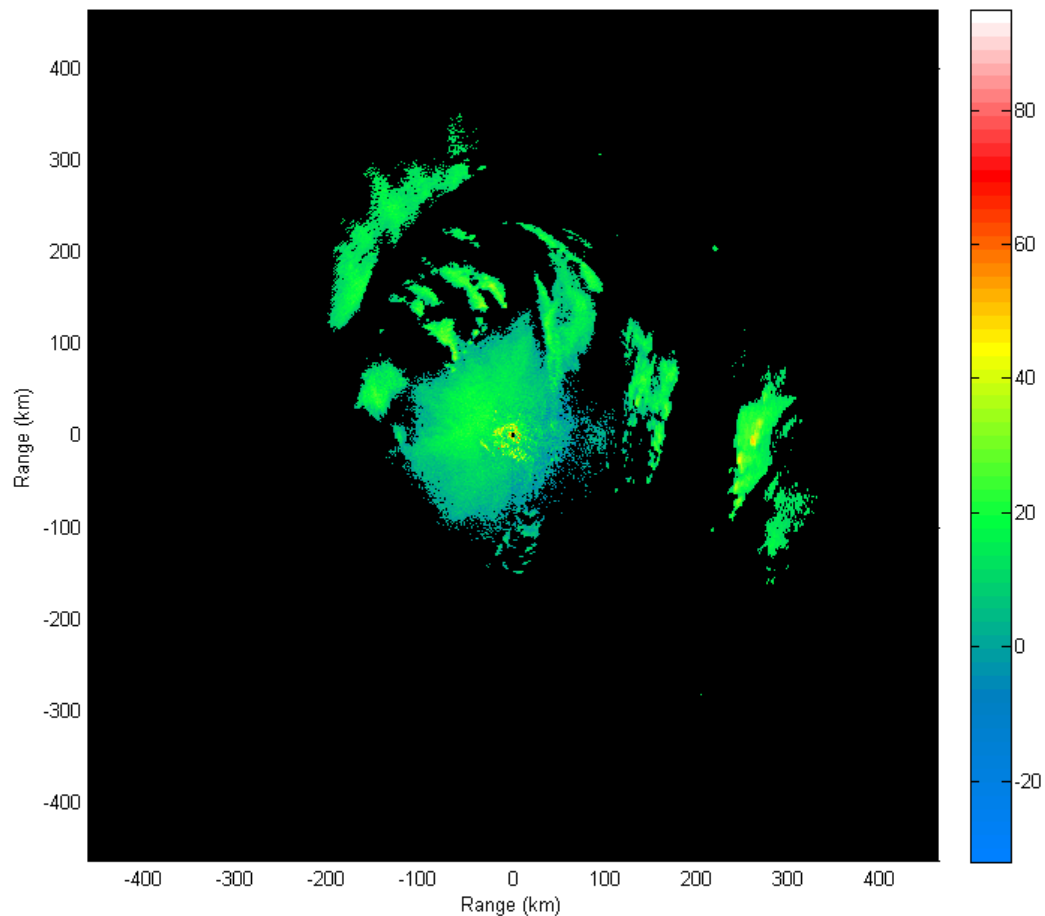
KTLX Oklahoma City, OK



Where is the zero?

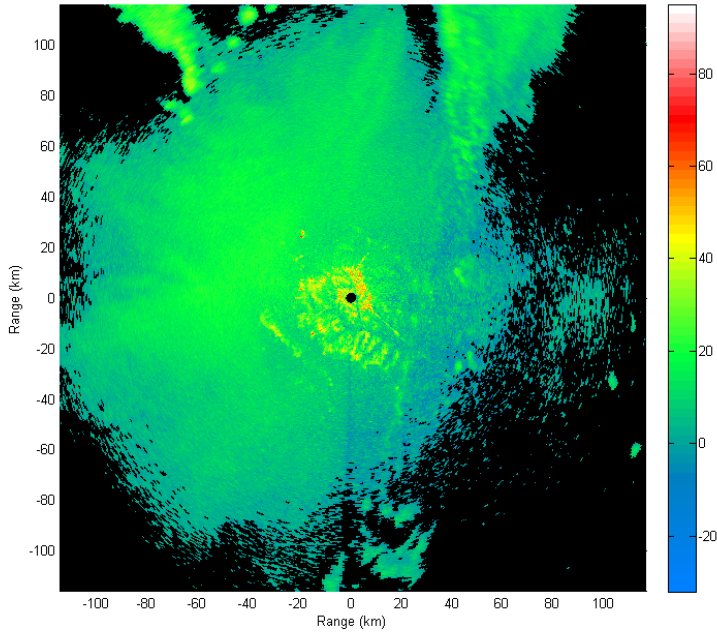
- Snow event with embedded storms

KTLX (OKC, OK) 0434Z 27OCT2006
Reflectivity - Unfiltered



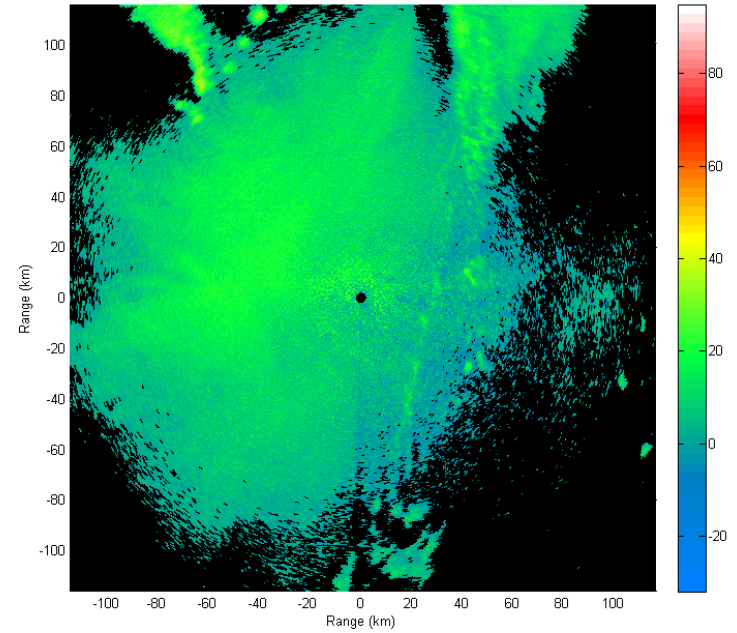
Unfiltered

KTLX (OKC, OK) 0434Z 27OCT2006
Reflectivity - Unfiltered



CLEAN-AP

KTLX (OKC, OK) 0434Z 27OCT2006
Reflectivity - CLEAN-AP

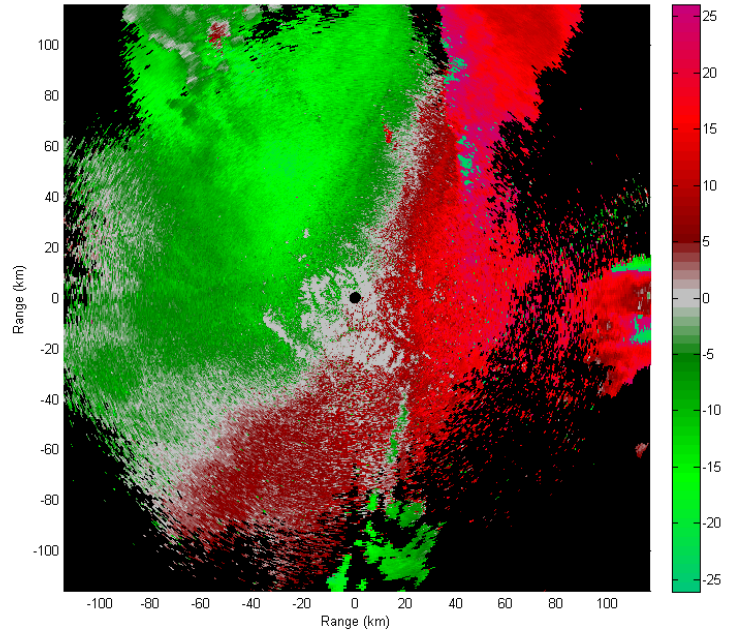


Where is the zero isodop?

		clutter contamination	
		no	yes
CMD detection	no	data ✓	data
	yes	bias	supp

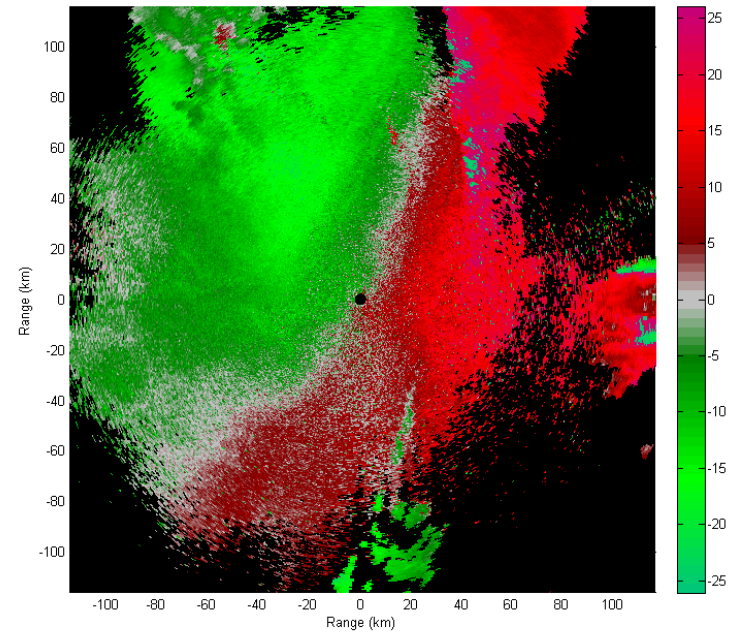
Unfiltered

KTLX (OKC, OK) 0434Z 27OCT2006
Velocity - Unfiltered



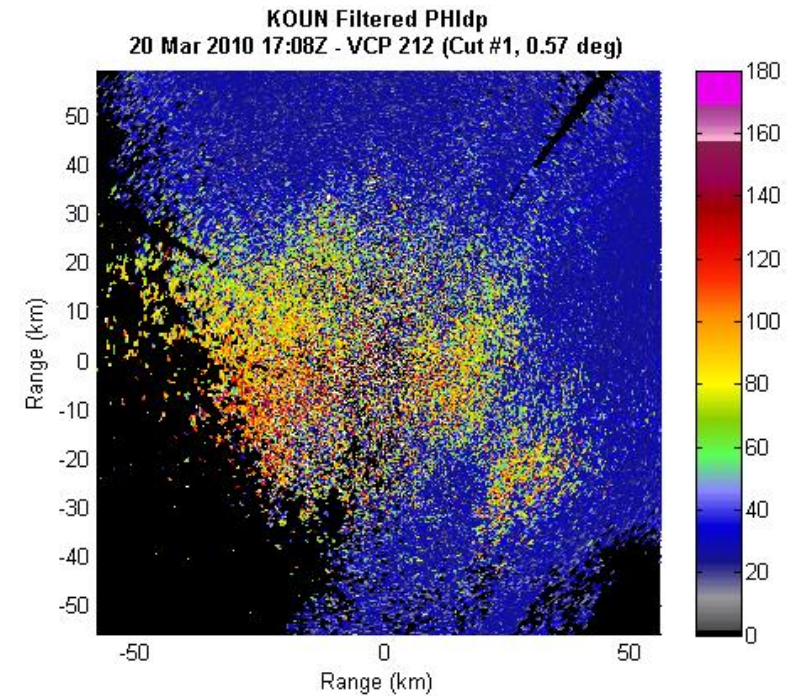
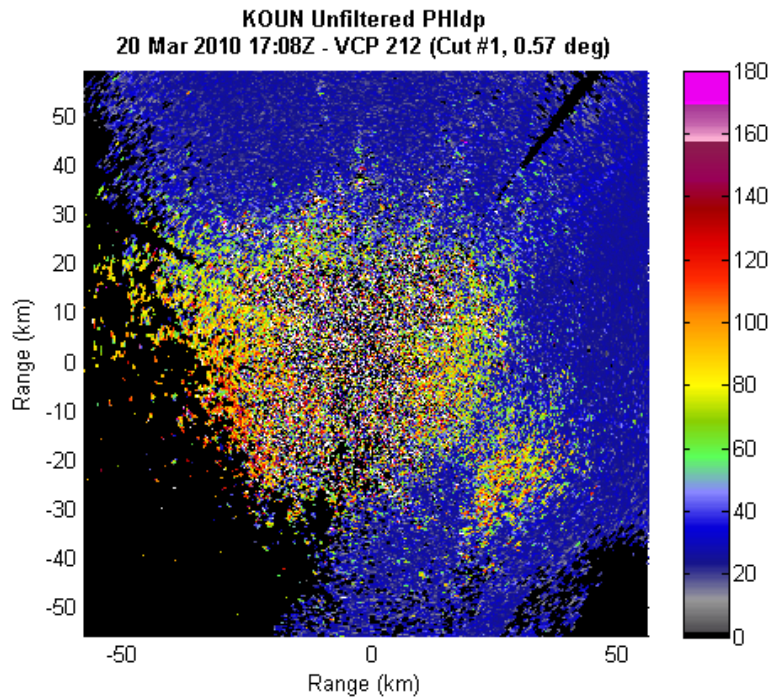
CLEAN-AP

KTLX (OKC, OK) 0434Z 27OCT2006
Velocity - CLEAN-AP



No detectable zero isodop loss

What about dual polarization?



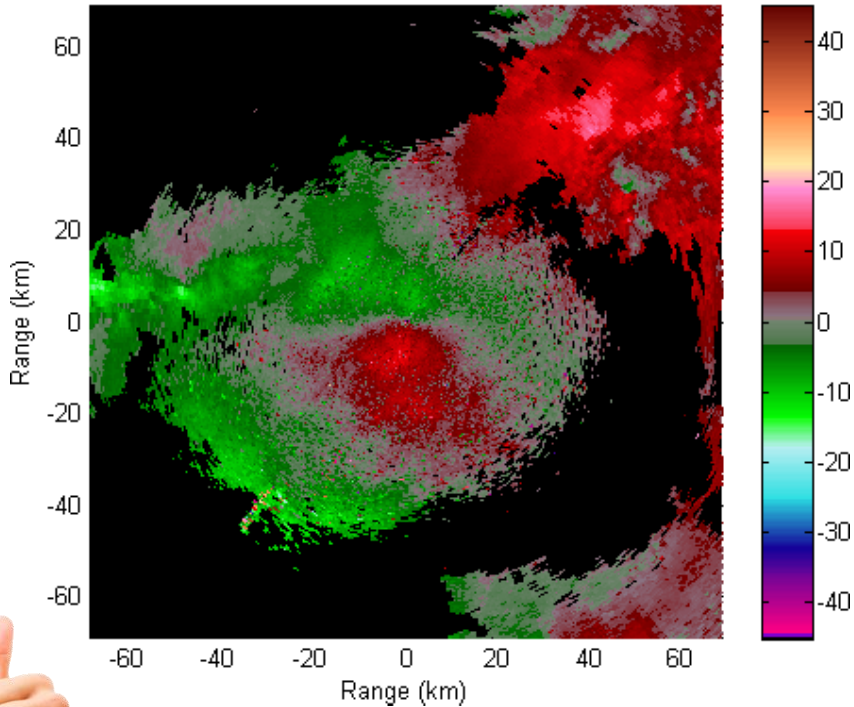
Differential Phase



CLEAN-AP is **compatible** with **dual polarization**

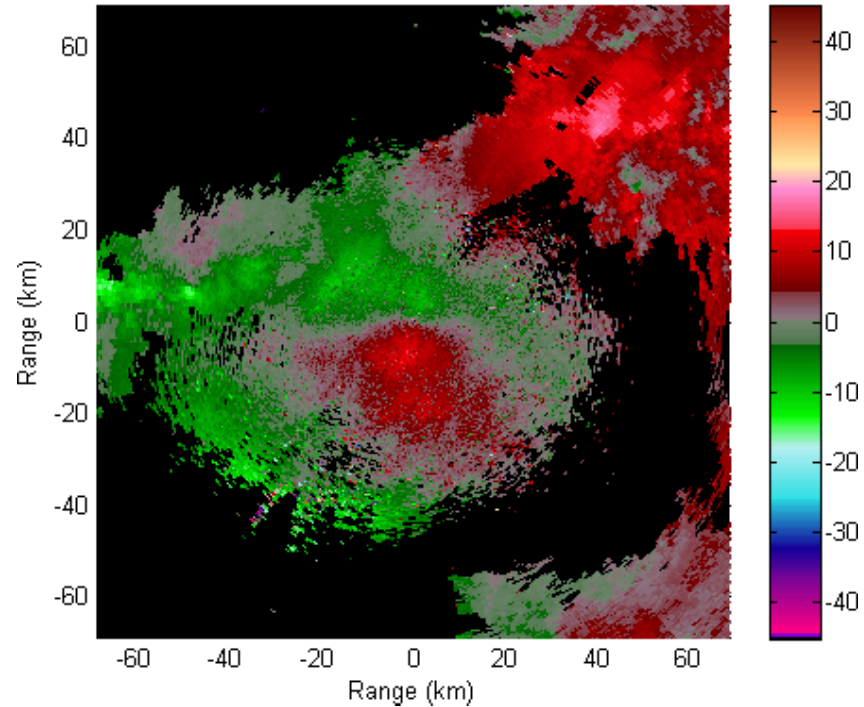
CLEAN-AP

Velocity (CLEAN-AP)
KOUN 0348Z 26JUN03



SACHI

Velocity (SACHI)
KOUN 0348Z 26JUN03



CLEAN-AP is **compatible** with **staggered PRT**

milestones



- Developed concept (Spring '08)
- Evaluated performance using simulations (Spring '08)
- Implemented in real time on the NWRT PAR (Fall '08)
- Compared with current WSR-88D clutter mitigation (Fall '09)
 - KEMX (Tucson, AZ), KTLX (Oklahoma City, OK), KABX (Albuquerque, NM), KCRI (ROC testbed)
- Extended to **dual-polarization** (Spring '10)
 - KOUN (S-band)
 - OU' (C-band)
- Developed clutter model (Spring '10)
 - Form/Function/Fit for RVP8
- Extended to staggered PRT (Fall '10)
 - Integrates into general PRT-ratio framework
- Presented at DQ TIMs (last time: Fall '10)
 - Task added to current DQ MOU with ROC



now you know what CLEAN-AP is



CLEAN-AP is a novel **real-time, automatic, integrated** technique for ground clutter **detection** and **filtering** that produces data with **better quality** while meeting NEXRAD technical **requirements**.



we need your decision



*Should the ROC proceed with the
engineering evaluation
of **CLEAN-AP** on the RDA?*



back up slides



what is CLEAN-AP **not**?

While CLEAN-AP can deal with normal- (NP) and anomalous-propagation (AP) clutter, it is *not* a mitigation technique for moving clutter such as **airplanes, cars, or wind turbines.**

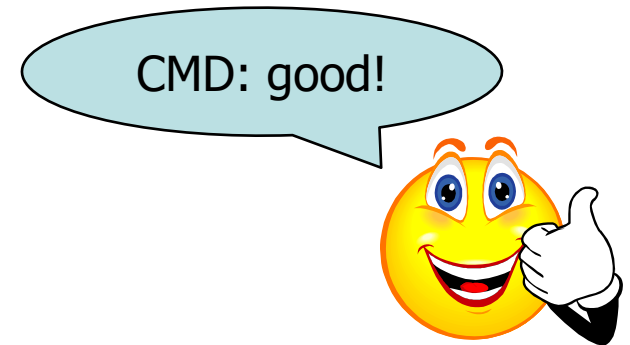


ground clutter filter control

Past, Present, and Future



- ORDA Build 10 **& 12**
 - Static ground clutter maps (BYPASS map)
 - Operator-defined Clutter Censor Zones (CCZ)
- ORDA Build 11
 - Lower tilts (split cuts)
 - Clutter Mitigation Decision (CMD)
 - Upper tilts
 - Static ground clutter maps (BYPASS map)
 - Operator-defined Clutter Censor Zones (CCZ)

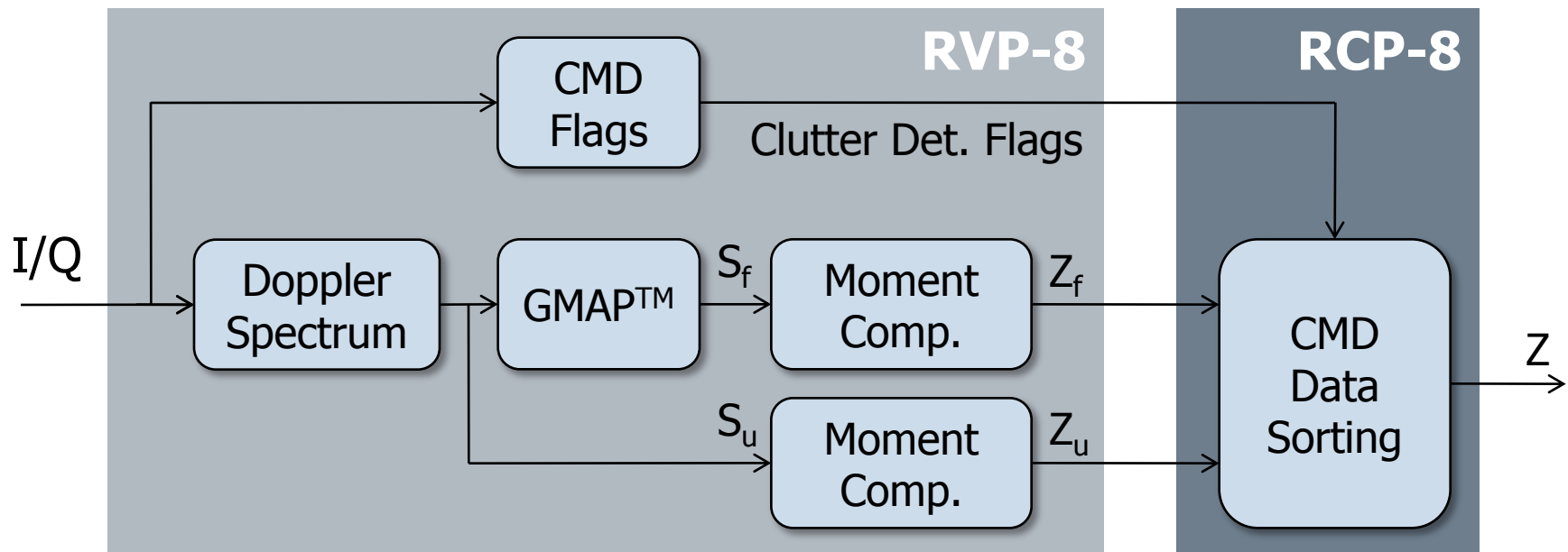


ground clutter filter control



B11 Clutter Mitigation Decision (CMD)

- Uses temporal and spatial features in a fuzzy-logic system to automatically detect ground clutter contamination in real time
 - Detections are “filled-in” by spatial filter
 - Detections are “extended” by map growing
 - Requires filtered and unfiltered data
 - Functionality split between RVP-8 and RCP-8

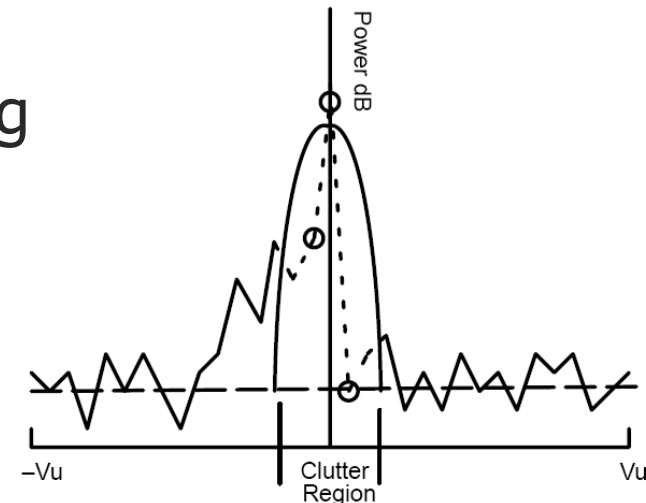


ground clutter filtering

Gaussian Model Adaptive Processing (GMAP™)



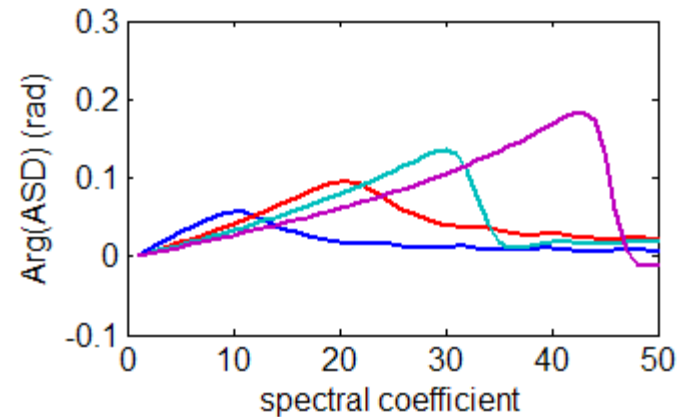
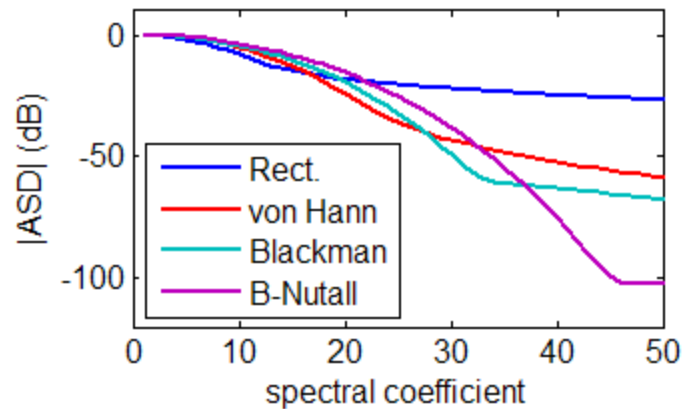
- Uses Gaussian model for clutter to determine notch width
 - Suppression is limited by maximum notch width
- Needs Blackman window to achieve required suppression
 - Does not produce data with best possible quality
- Uses iterative process to reduce reflectivity bias
 - Computationally intensive
- Needs at least 16 samples to achieve required suppression
 - Imposes limit on faster updates
- Not conducive to more spectral processing
 - Phase is lost from filtered signal
 - Affected by circular convolution biases
- Algorithm is under Vaisala control



Source: Siggia and Passarelli (2004)

Supporting charts

	Current CMD+GMAP			Proposed CLEAN-AP	
	DFT	GMAP	CMD	DFTs	CLEAN-AP
Surveillance mode	all	all	all	all	all
Doppler mode	all	all	none	all	all

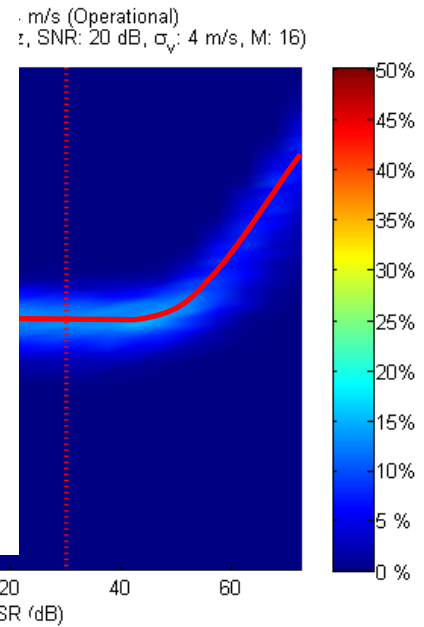
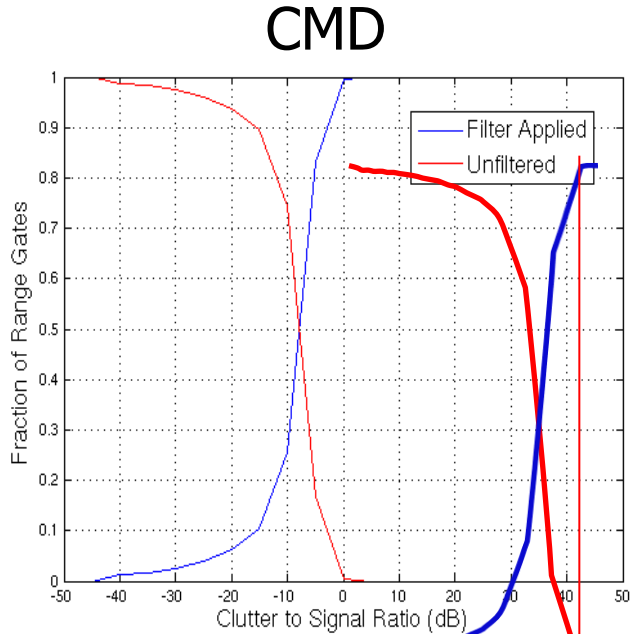
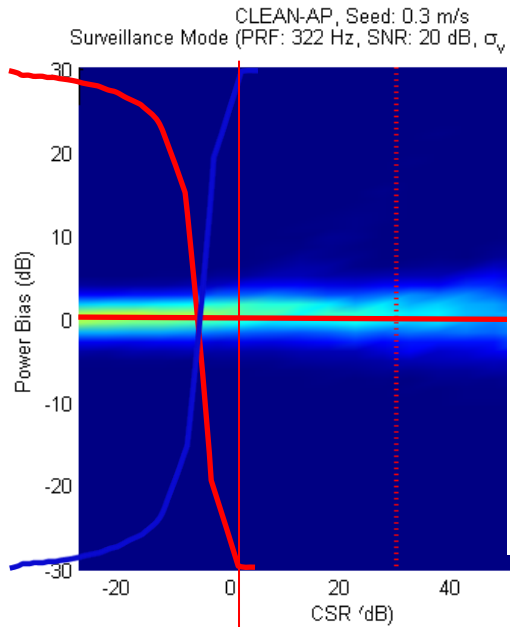


Clutter Suppression

Surveillance Mode (30 dB)

CLEAN-AP

GMAP



Clutter Filter Toggled **x**

Clutter Filter Always On **✓**

Clutter Filter Toggled **x**

Clutter Filter Always On **✓**

Hubbert et al. 2009

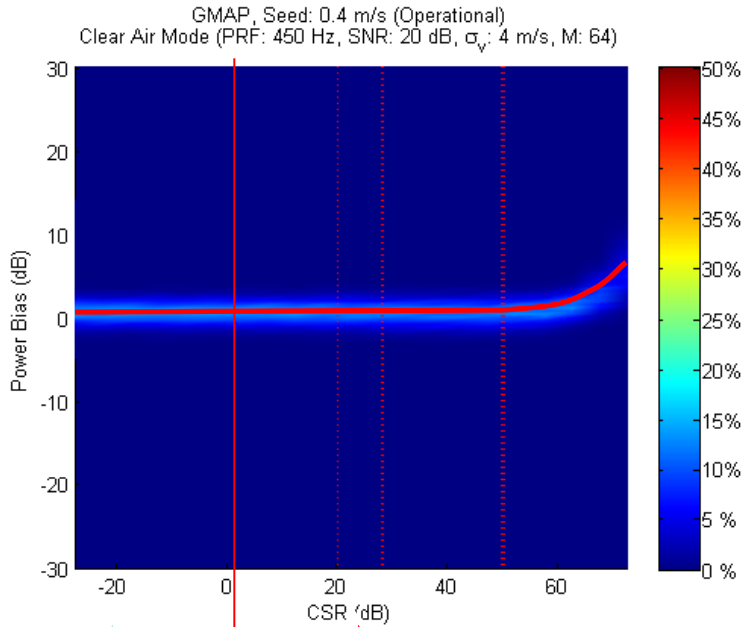
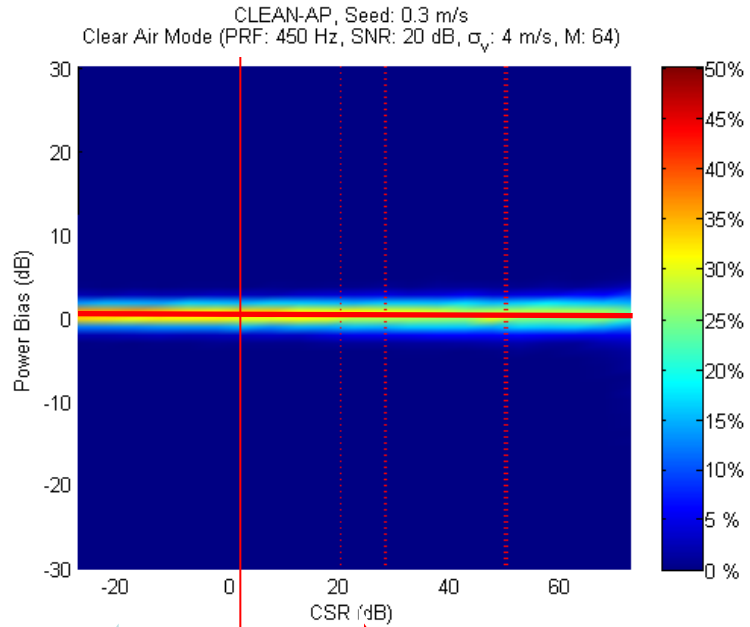
		clutter contamination	
		no	yes
CMD detection	no	data	x ca
	yes	bias	✓ pp

Clutter Suppression

Clear Air Mode (20, 28, and 50 dB)

CLEAN-AP

GMAP



Clutter Filter Toggled **x**

Clutter Filter Always On **✓**

Clutter Filter Toggled **x**

Clutter Filter Always On **✓**

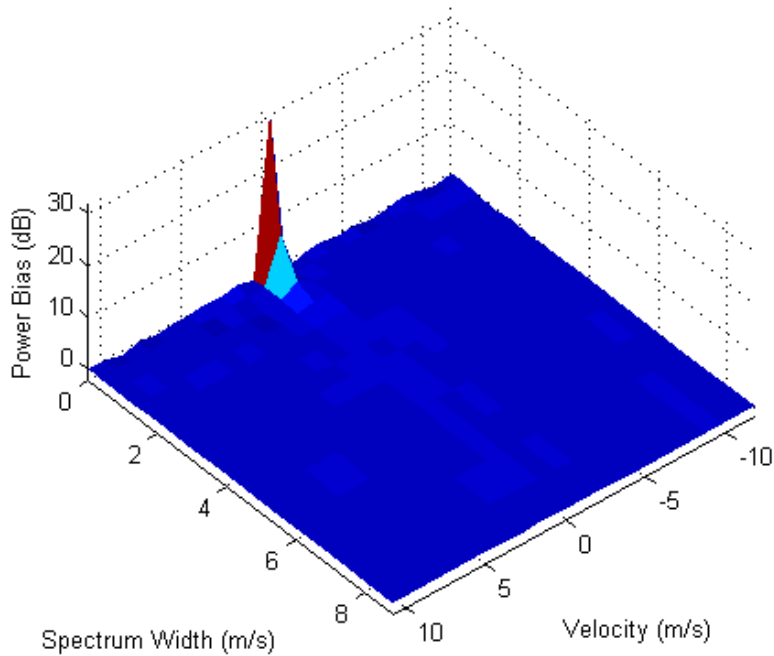
		clutter contamination	
		no	yes
CMD detection	no	data	x ca
	yes	bias	✓ pp

Reflectivity (Power) Bias Clear Air Mode



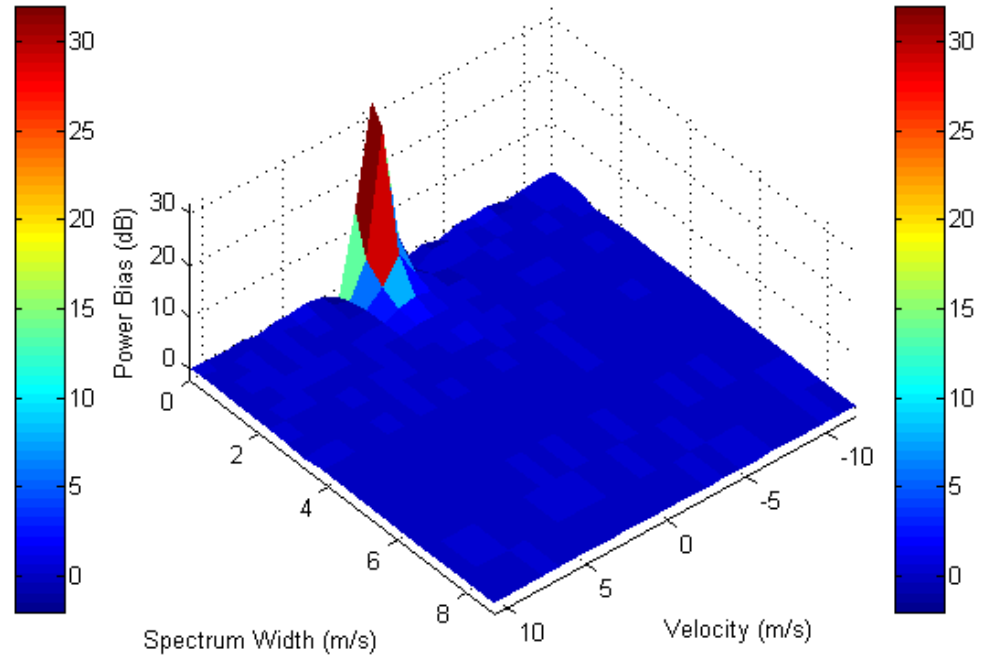
CLEAN-AP

CLEAN-AP, Seed: 0.3 m/s
Clear Air Mode (PRF: 450 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



GMAP

GMAP, Seed: 0.4 m/s (Operational)
Clear Air Mode (PRF: 450 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)

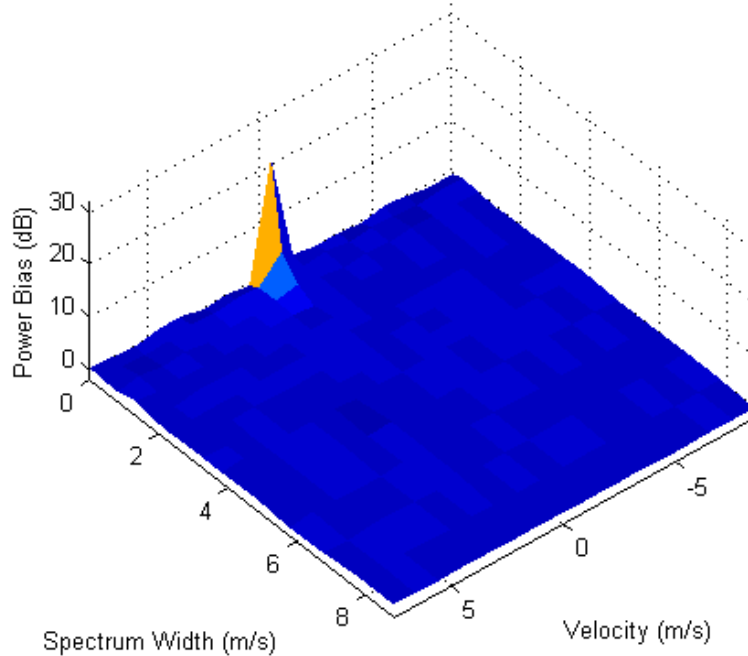


Reflectivity (Power) Bias Surveillance Mode



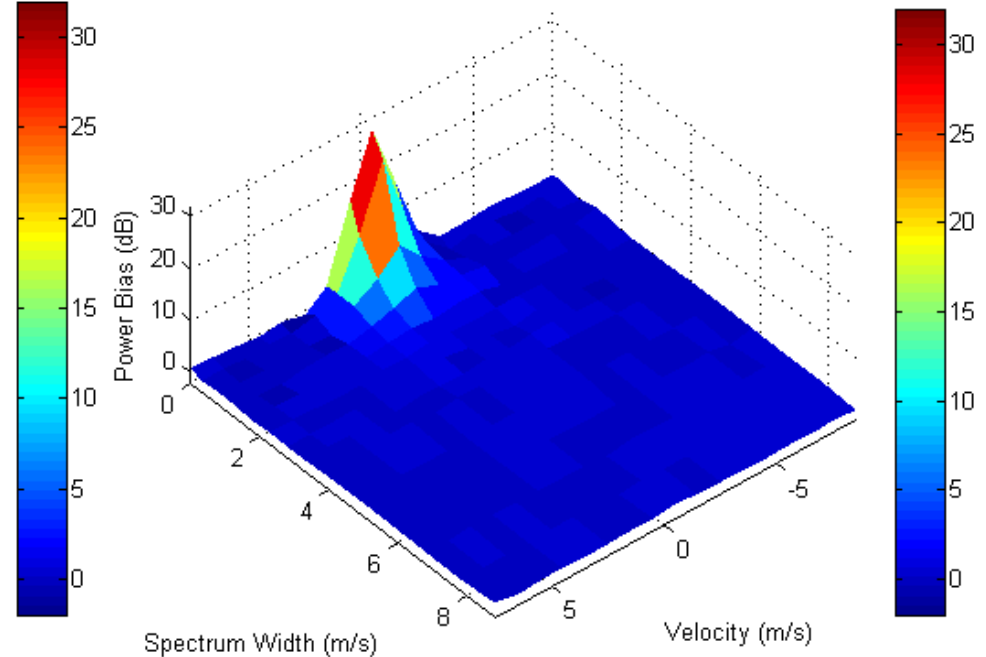
CLEAN-AP

CLEAN-AP, Seed: 0.3 m/s
Surveillance Mode (PRF: 322 Hz, SNR: 20 dB, M: 16)



GMAP

GMAP, Seed: 0.4 m/s (Operational)
Surveillance Mode (PRF: 322 Hz, SNR: 20 dB, α_v : 4 m/s, M: 16)



Velocity Bias

Doppler Mode



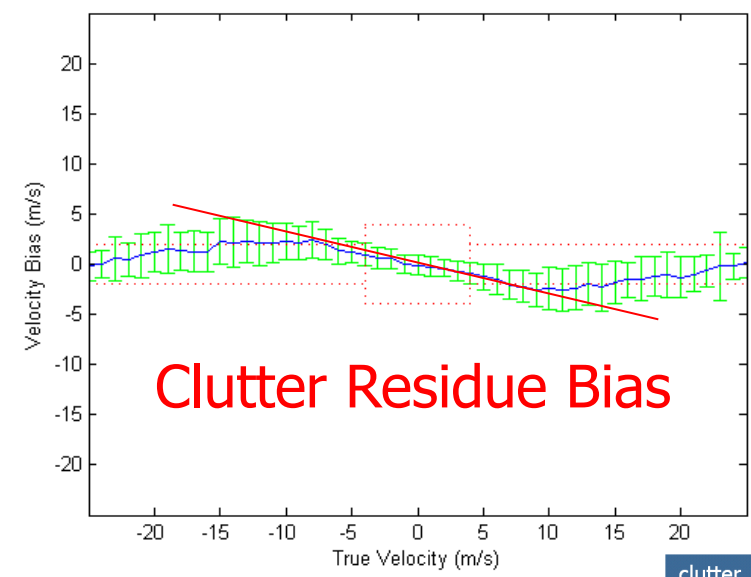
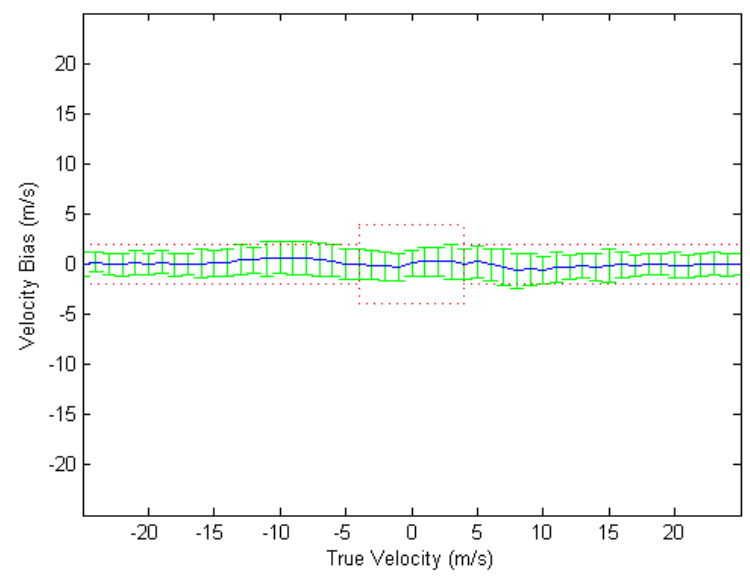
CSR = 55 dB

CLEAN-AP

GMAP

CLEAN-AP, CSR: 55 dB, Seed: 0.3 m/s
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)

GMAP, CSR: 55 dB, Seed: 0.4 m/s (Operational)
Doppler Mode (PRF: 1000 Hz, SNR: 20 dB, σ_v : 4 m/s, M: 64)



		clutter contamination	
		no	yes
CMD detection	no	data	data
	yes	bias ✓	supp

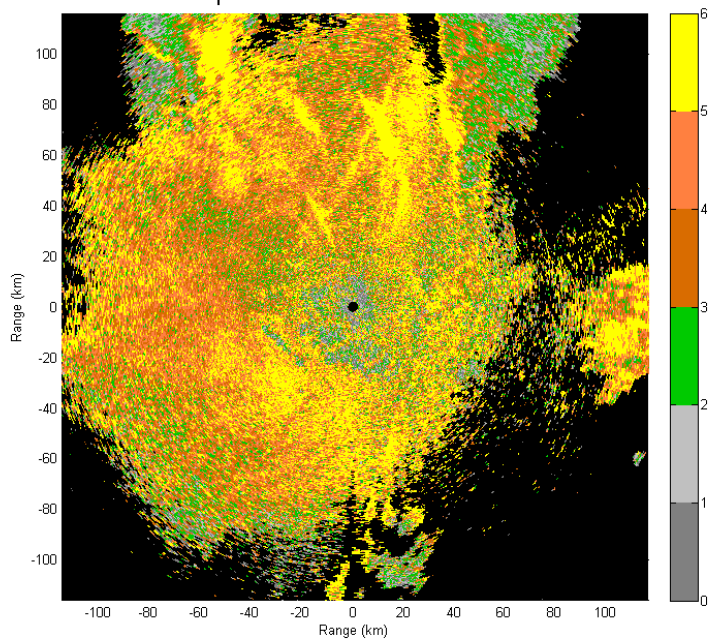


- RDA Build 11.0 Beta Test (CMD implemented)
 - Missed CMD detections in RDA Build 11.0
 - Level-I data indicated that, at times, two distinct targets were captured by the moving antenna
 - Phase and power changes between clutter targets caused low CPA values
 - Mitigated CMD missed detections in RDA Build 11.1 (only for super resolution)

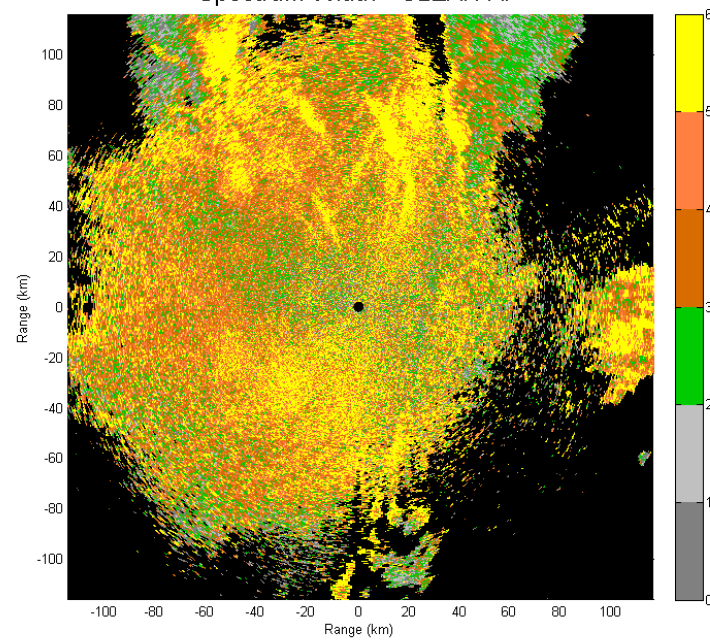
Unfiltered

CLEAN-AP

KTLX (OKC, OK) 0434Z 27OCT2006
Spectrum Width - Unfiltered

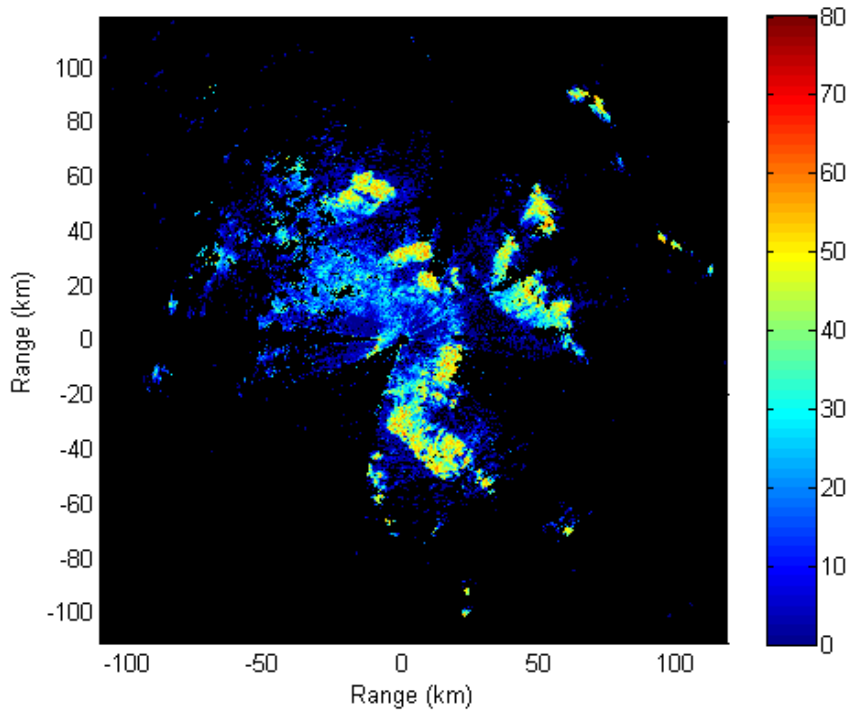


KTLX (OKC, OK) 0434Z 27OCT2006
Spectrum Width - CLEAN-AP

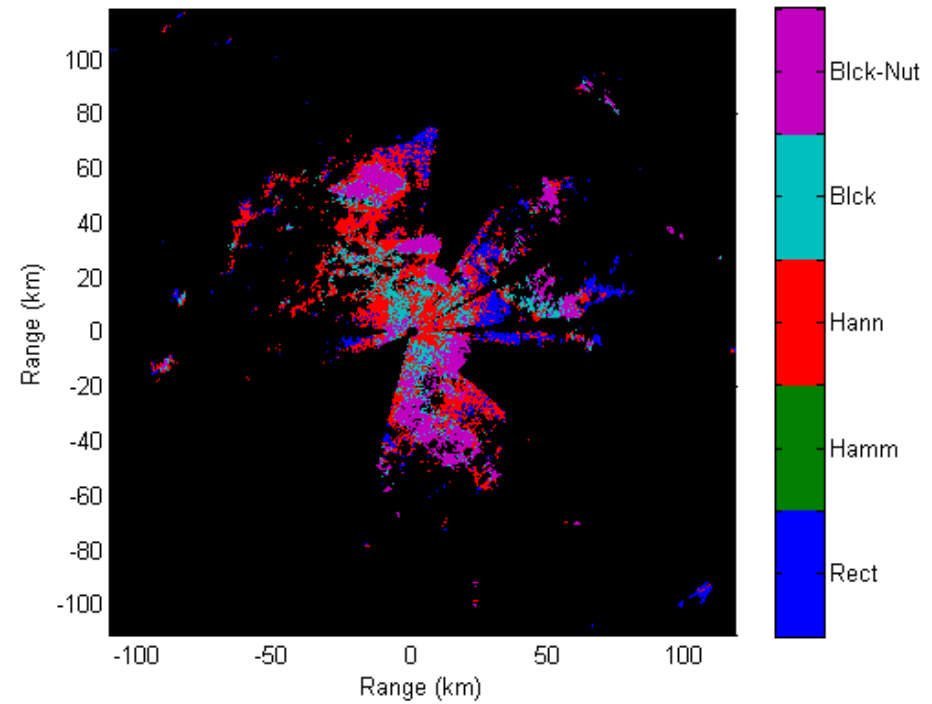


What is CLEAN-AP doing?

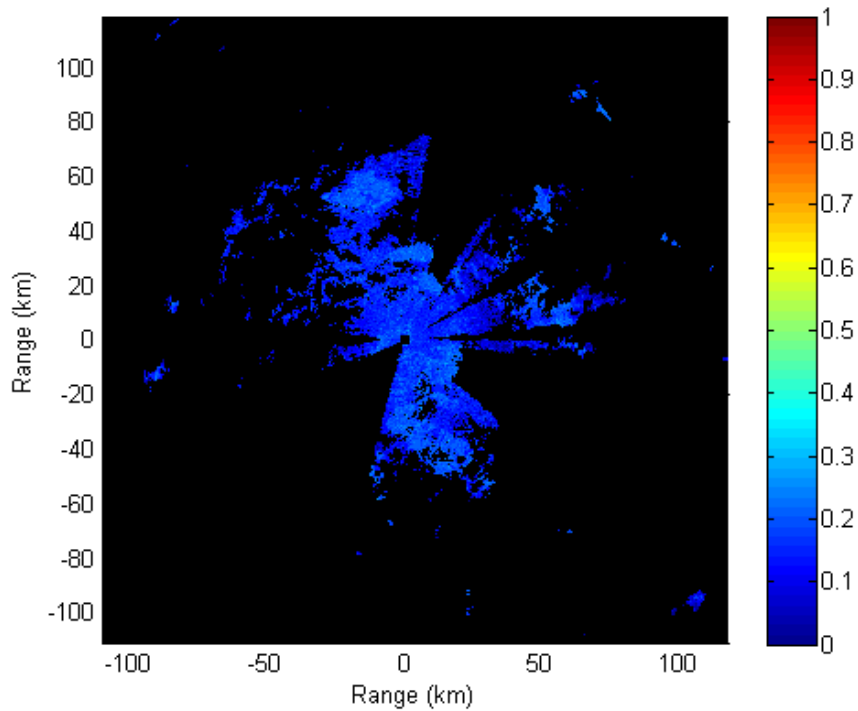
Power Removed



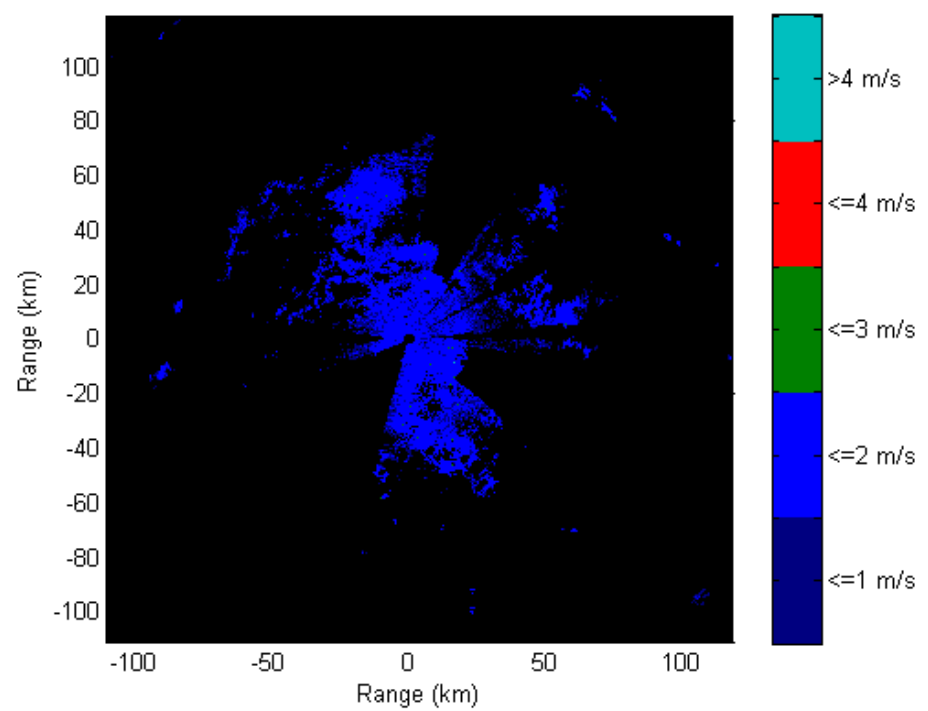
Window Used



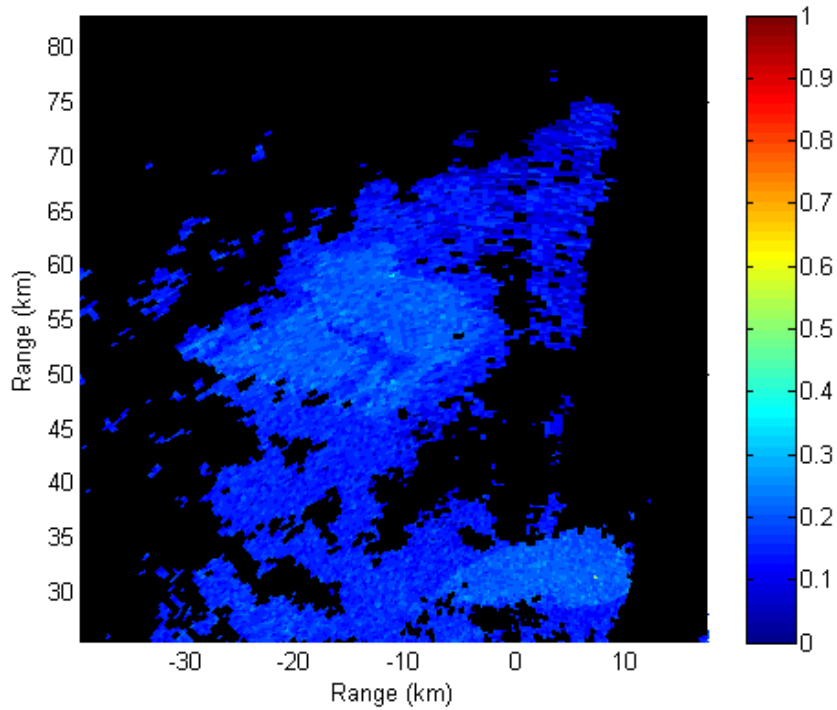
Percent of Notch



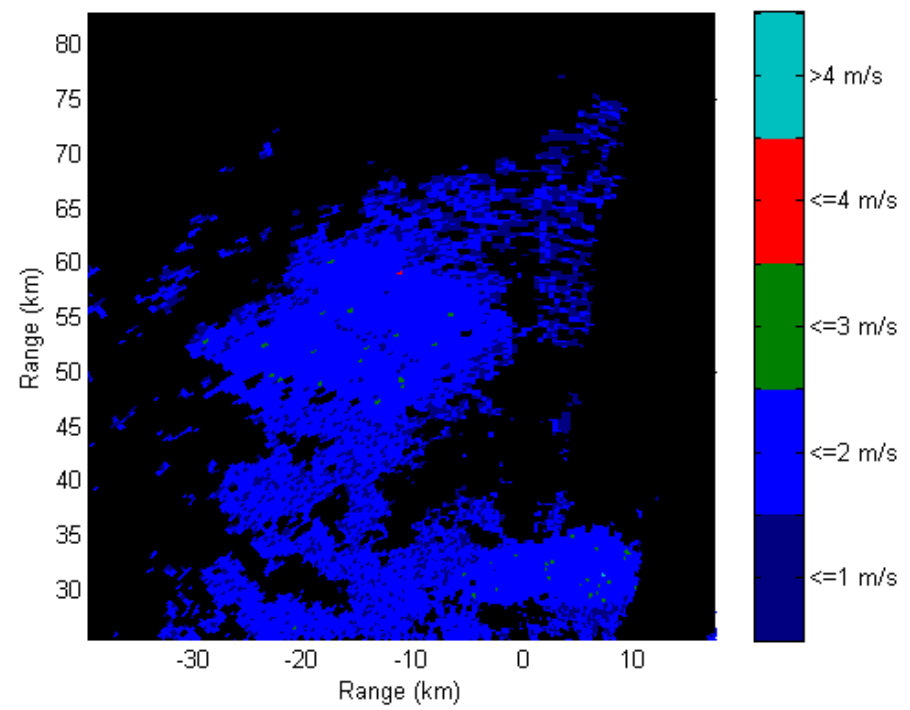
Usable Velocities



Percent of Notch



Usable Velocities



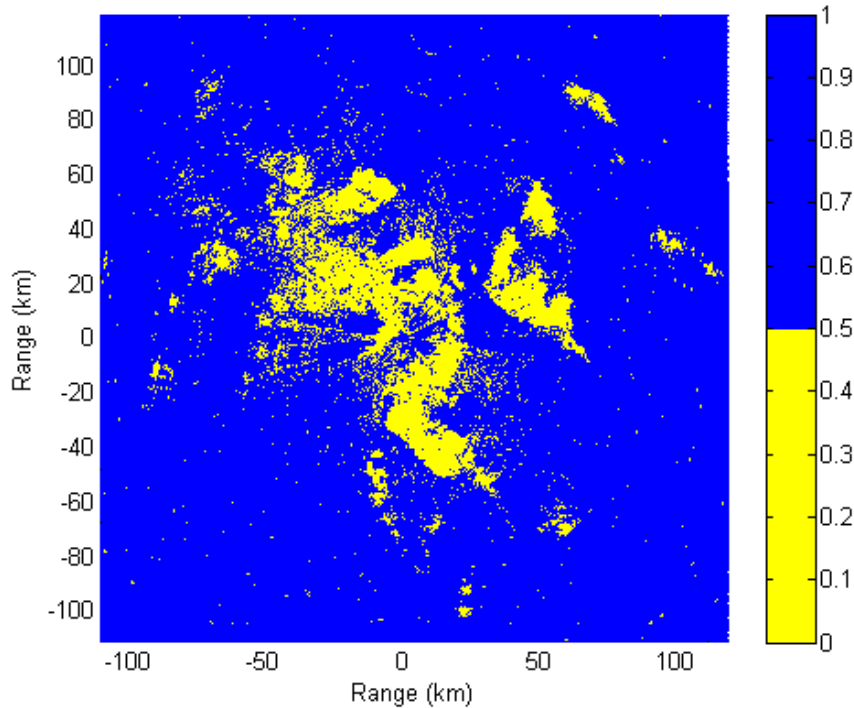
Operational Considerations



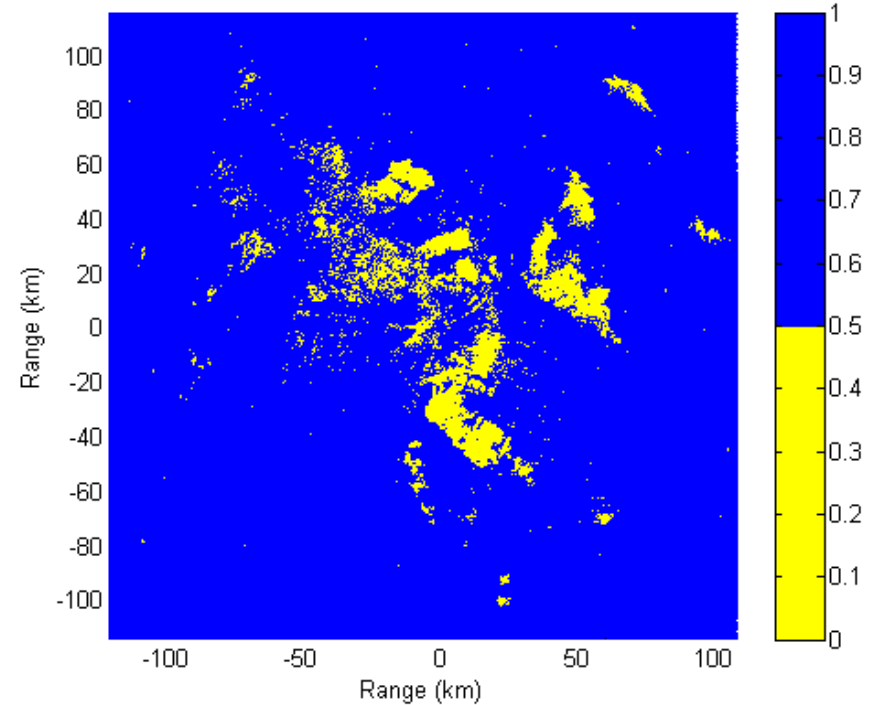
What about Clutter Maps?

- WSR-88D Operational Clutter Maps
 - 5 elevation (el.) segments transitions in Volume can be changed.
 - VCP-12
 - Segment 1: el. < 1.05
 - Segment 2: 1.05 < el. < 1.65
 - Segment 3: 1.65 < el. < 4.05
 - Segment 4: 4.05 < el. < 6.45
 - Segment 5: el. > 6.45
 - Resolution = 1 km (resolution of base data = 1/4 km)
 - Static
 - Dynamic
- CLEAN-AP runs on all bins
 - Clutter Maps?

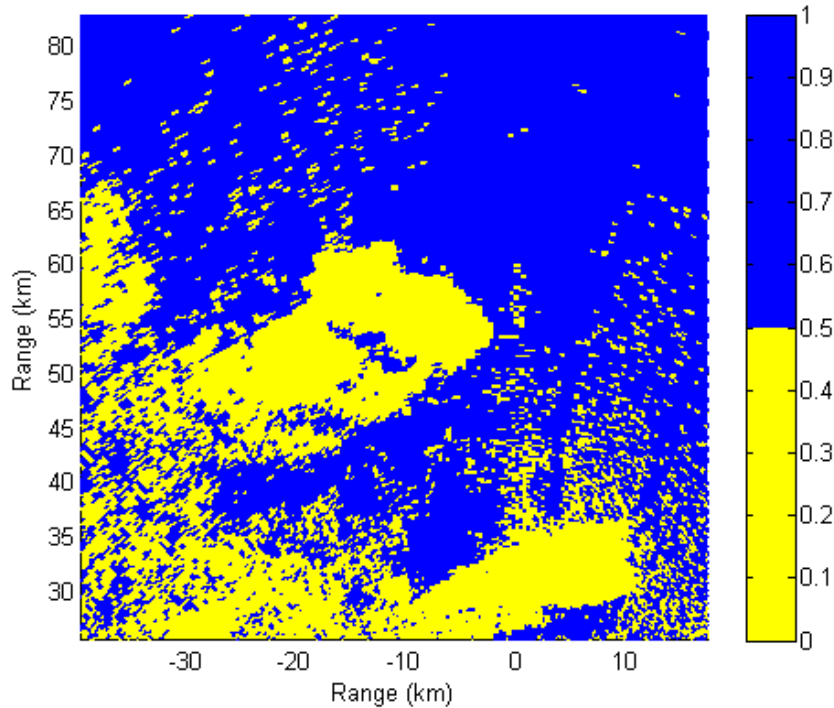
Clutter Map (Pwr Rmvd > 10 dB)



Clutter Map (Pwr Rmvd > 20 dB)



Clutter Map (Pwr Rmvd > 10 dB)



Clutter Map (Pwr Rmvd > 20 dB)

